

# Air Pollution and Health: The India case

Kirk R. Smith, PhD and John Balmes, MD

School of Public Health, UC Berkeley

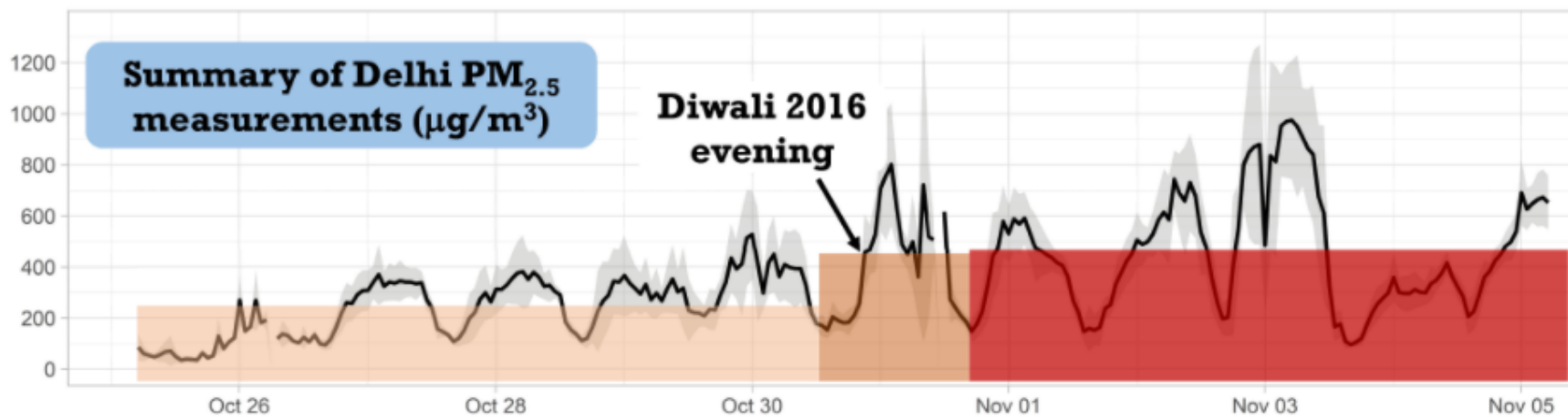
Collaborative Clean Air Policy Centre

India Habitat Centre, New Delhi

First World NCD Congress

PGIMER, Chandigarh

November 5, 2017



Source: Air continuous monitoring stations in Delhi; Credits: @OpenAQ.org and @PallaviPant

**UE**  
**info**

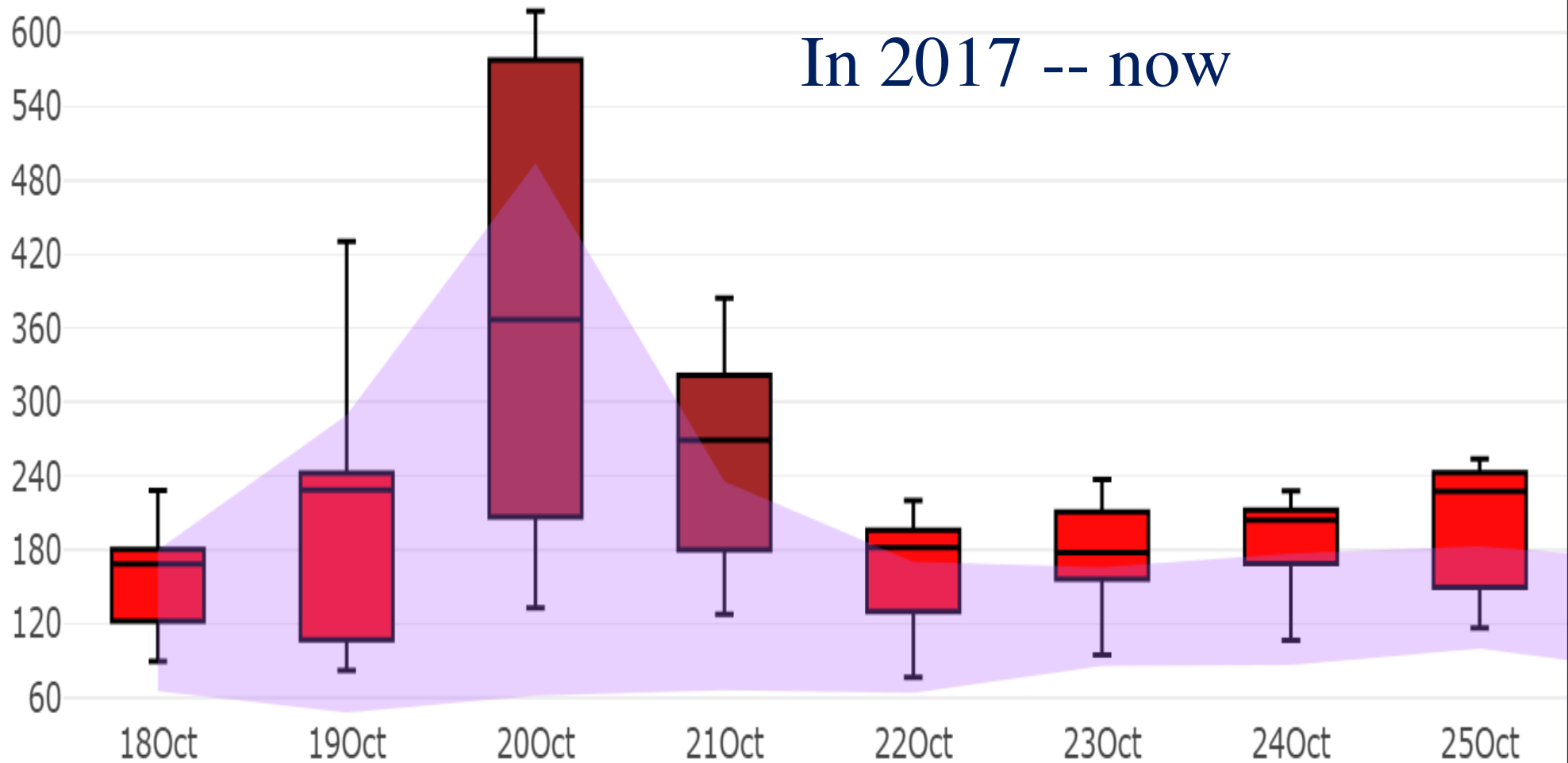
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Delhi's pollution in 2016 – note effects of  
1) the holiday (Diwali) and  
2) crop residue burning

# PM2.5 concentrations in $\mu\text{g}/\text{m}^3$

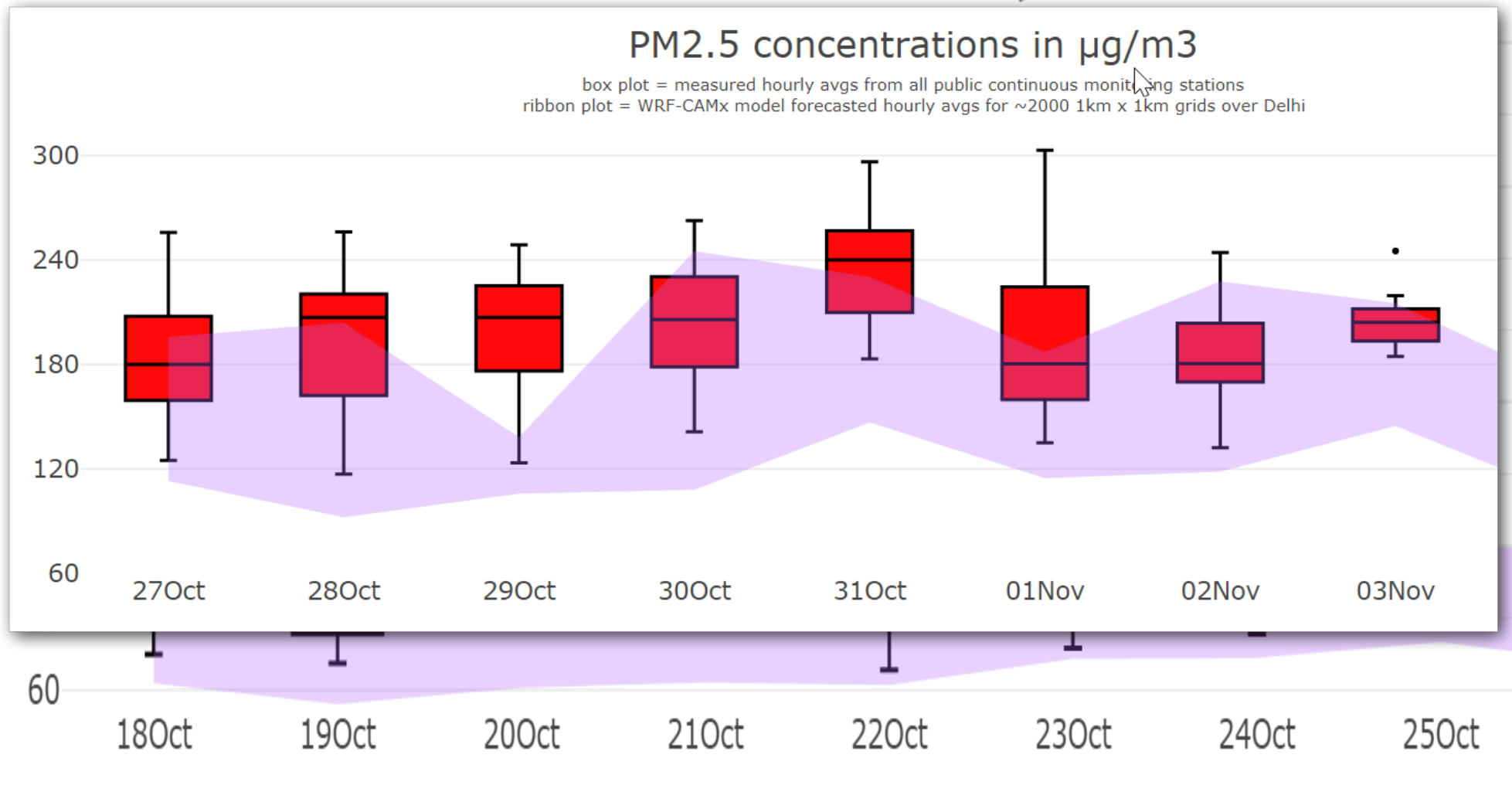
box plot = measured hourly avgs from all public continuous monitoring stations  
ribbon plot = WRF-CAMx model forecasted hourly avgs for ~2000 1km x 1km grids over Delhi

In 2017 -- now



# PM2.5 concentrations in $\mu\text{g}/\text{m}^3$

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# Particle deposition

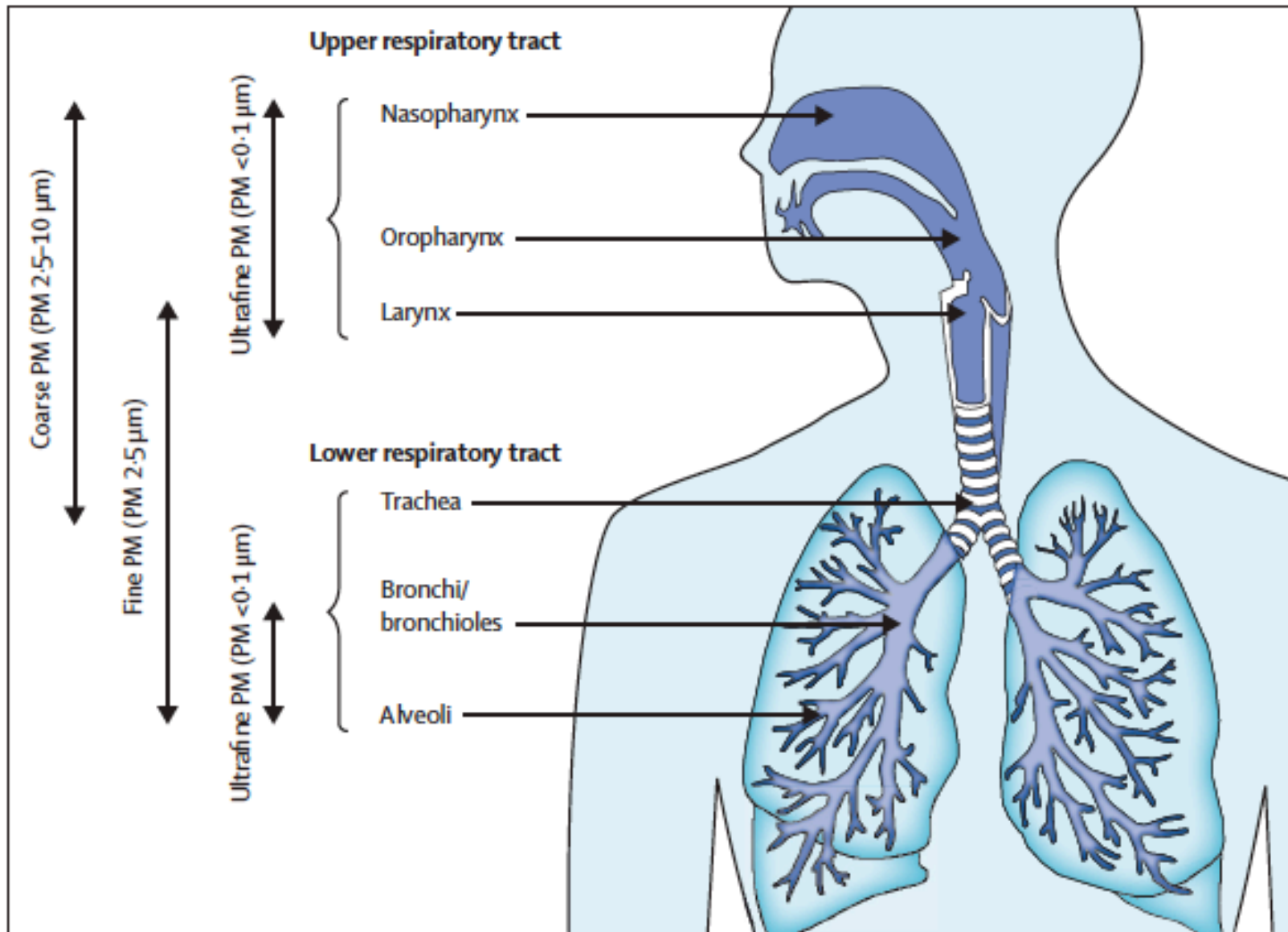
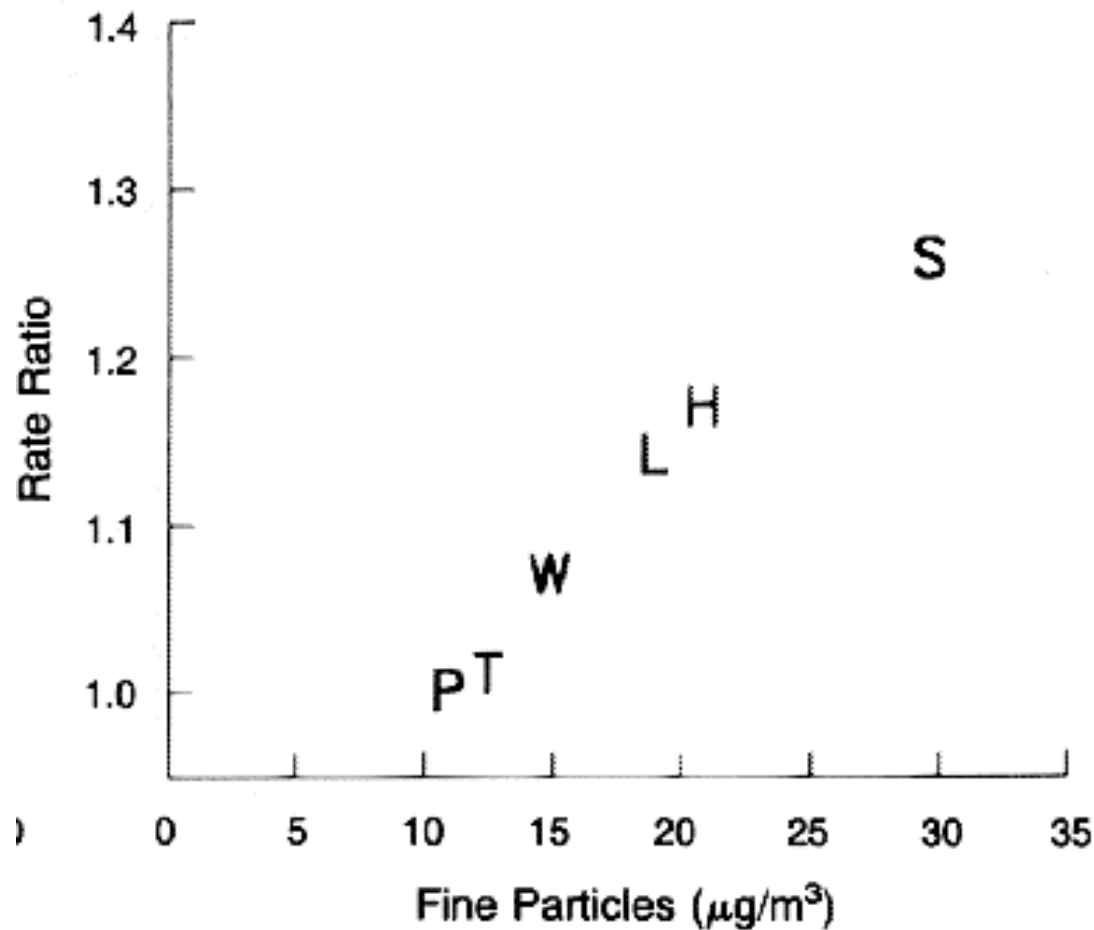


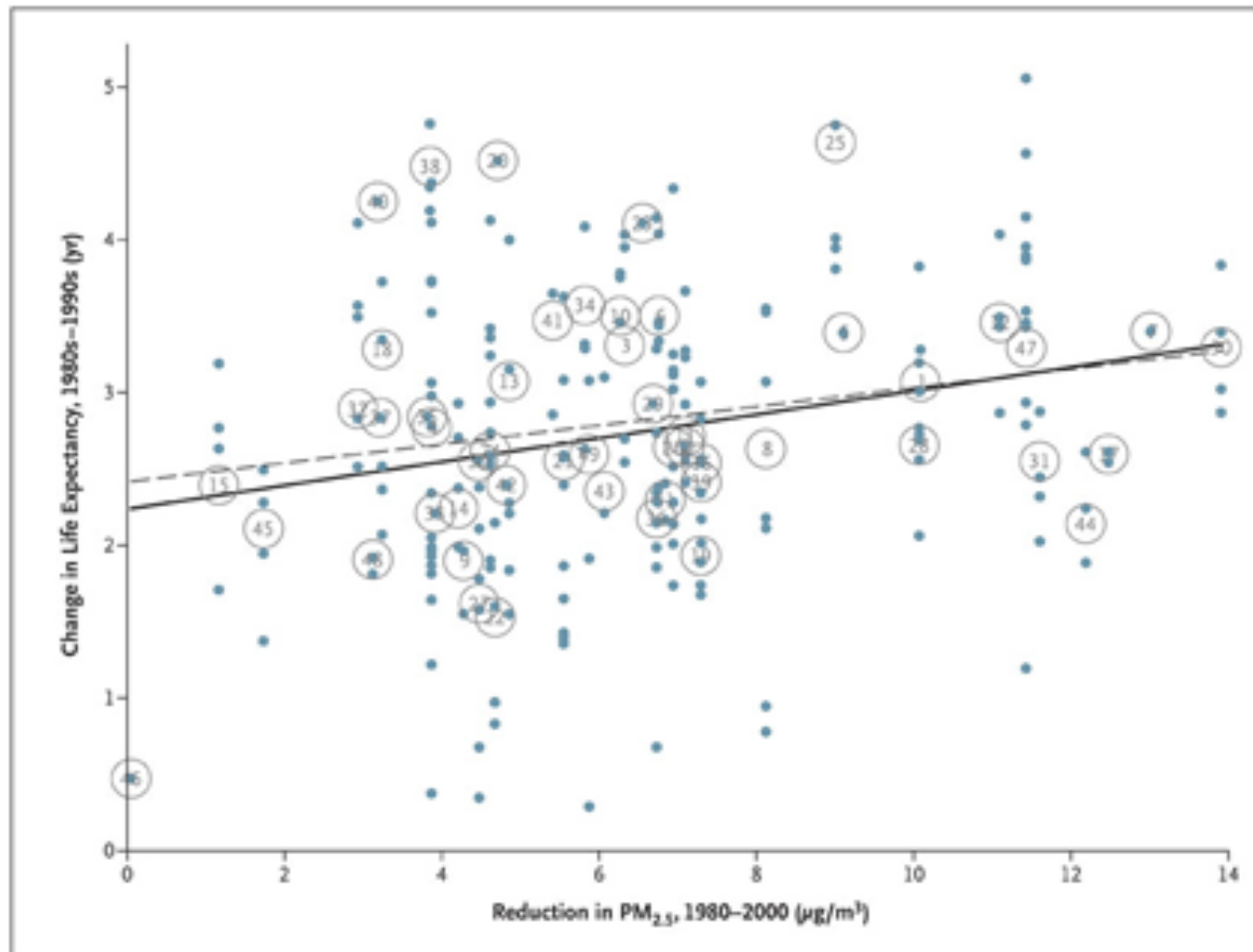
Figure 4: Compartmental deposition of particulate matter

# An Association between Air Pollution and Mortality in Six U.S. Cities



Dockery et al. N Engl J Med 1993;329:1753-1759

# Fine-Particulate Air Pollution and Life Expectancy in the United States



Pope et al. N Engl J Med 2009;360:376-386.

# Other Effects - examples

- Low birth weight
- Pre-term birth
- BMI
- Diabetes

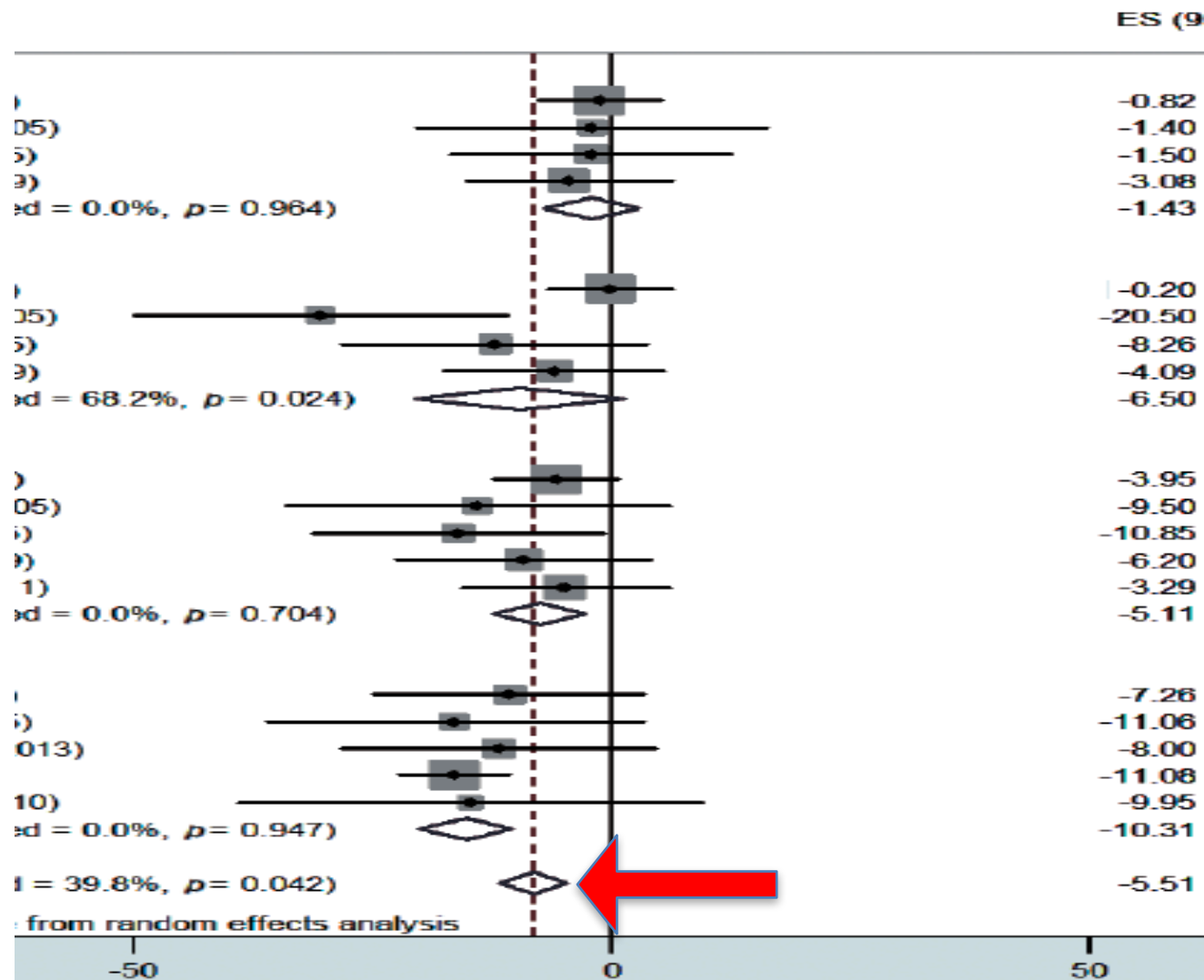


# A meta-analysis of exposure to particulate matter and adverse birth outcomes

Dirga Kumar Lamichhane<sup>1</sup>, Jong-Han Leem<sup>2</sup>, Ji-Young Lee<sup>1</sup>, Hwan-Cheol Kim<sup>2</sup>

Environ Health Toxicol 2015;30:e2015011.

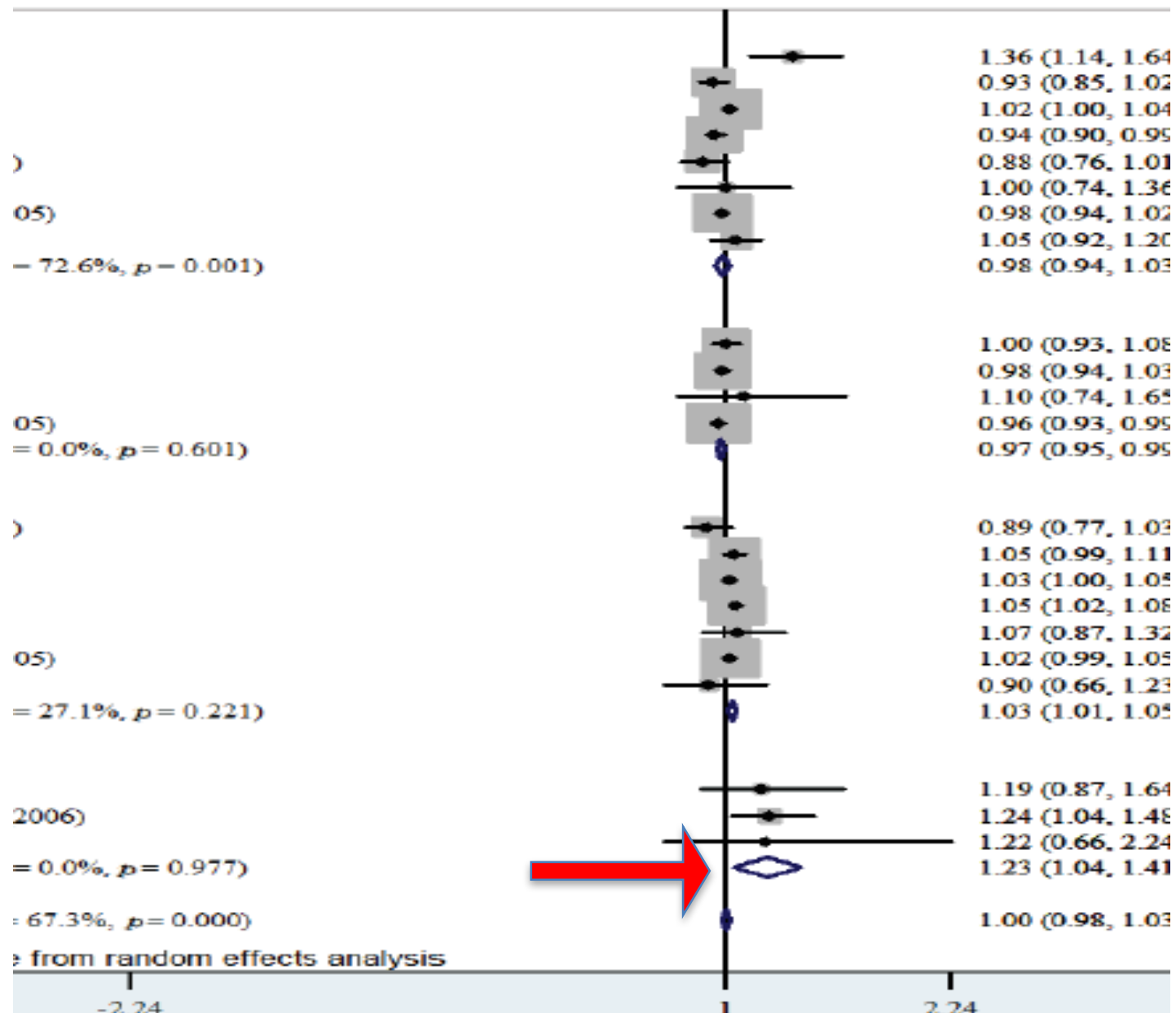
Birth  
Weight

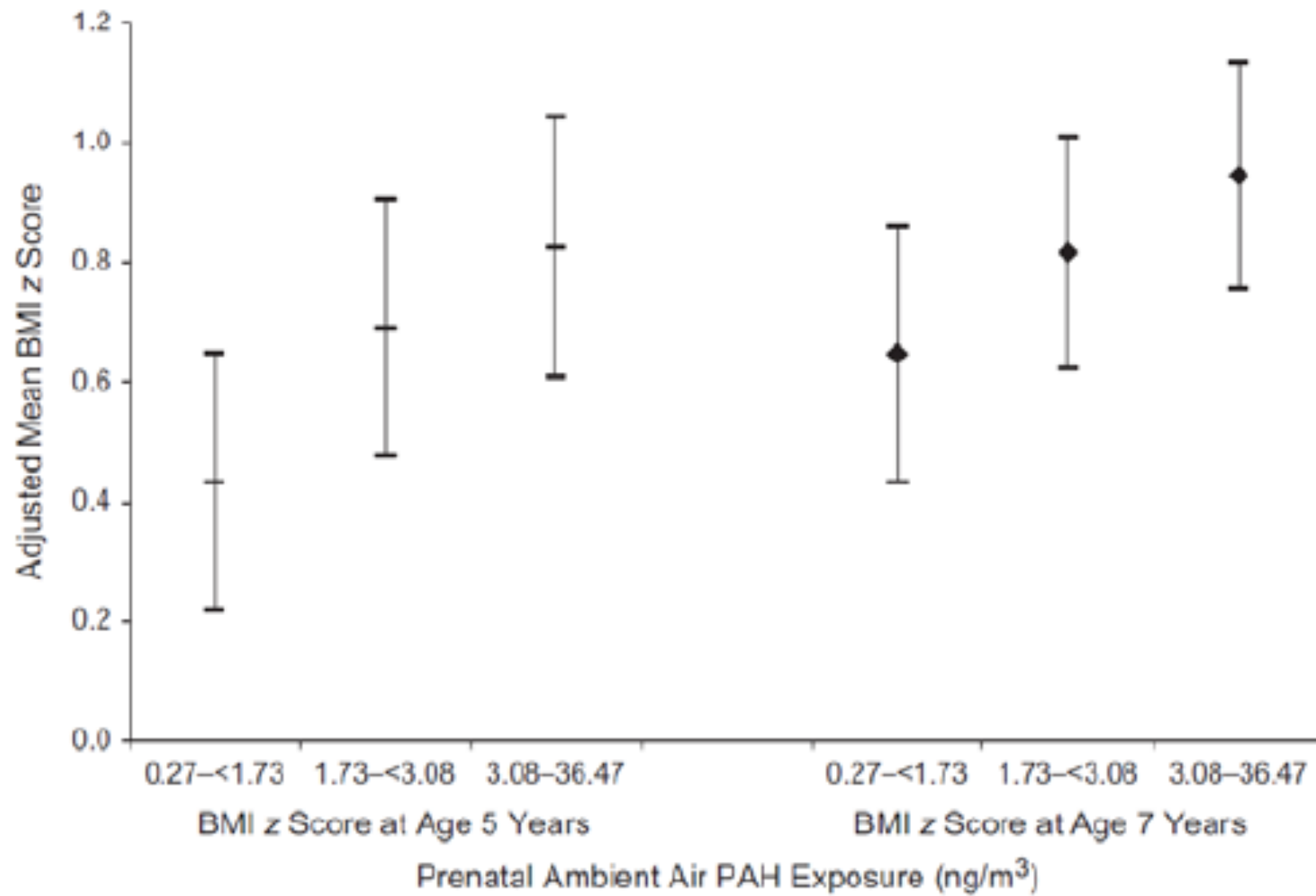


# A meta-analysis of exposure to particulate matter and adverse birth outcomes

Dirga Kumar Lamichhane<sup>1</sup>, Jong-Han Leem<sup>2</sup>, Ji-Young Lee<sup>1</sup>, Hwan-Cheol Kim<sup>2</sup>

ES (95% CI)





Rundle et al., Am J Epidemiol 2012

# Air Pollution and Diabetes

- Several studies have shown associations between diabetes in adults and exposure to traffic-related air pollution (TRAP)
- Evidence also building for children



# Potential Mechanism

- Air pollution can induce oxidative stress and systemic inflammation
- PM<sub>2.5</sub> induced adipose tissue inflammation and insulin resistance in a mouse model of diet-induced obesity (Sun et al. Circulation 2009)
- Hypothesis:
  - Exposure to air pollution *in utero* and in early childhood increases risk of obesity and abnormal glucose metabolism later in childhood

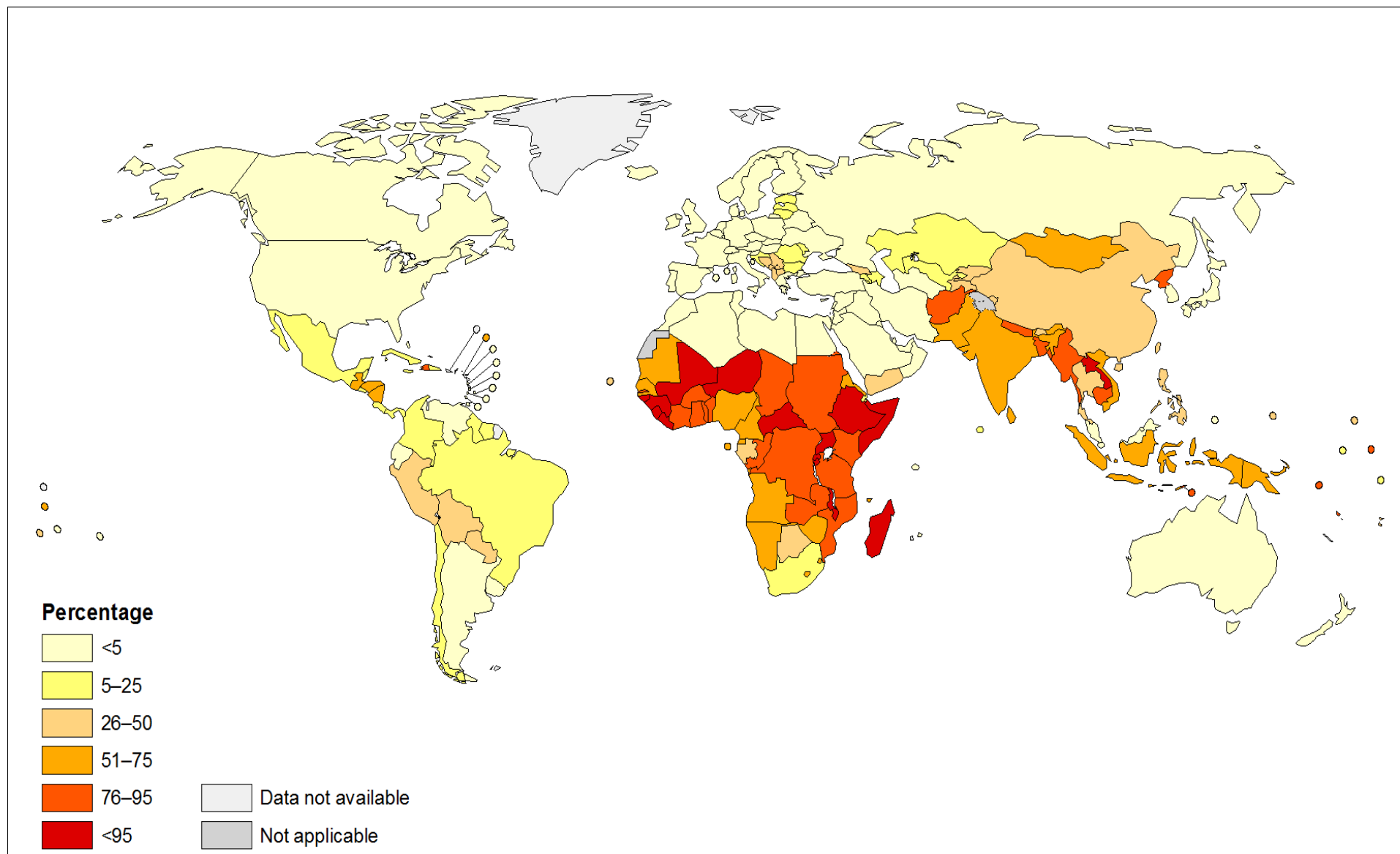




The three major solid fuels



# Population Cooking with Solid Fuels in 2010 (%)



The boundaries and names shown and the designations used on this map do not imply the expression of any opinion whatsoever on the part of the World Health Organization concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

Data Source: World Health Organization  
Map Production: Public Health Information  
and Geographic Information Systems (GIS)  
World Health Organization



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# Toxic Pollutants in Wood Smoke from Simple (poor) Combustion

- Small particles, CO, NO<sub>2</sub>
- Hydrocarbons

- Typical chulha releases 400 cigarettes per hour worth of smoke
- 25+ alcohols and acids such as *methanol*
- 33+ phenols such as *catechol* & *cresol*
- Many quinones such as *hydroquinone*
- Semi-quinone-type and other radicals
- Chlorinated organics such as *methylene chloride* and *dioxin*

*adiene*

*ne*

*rene*

*olein*

Source: Naehrer et al,  
*J Inhal Tox*, 2007



First person in human history to  
have her exposure measured  
doing the oldest task in human history

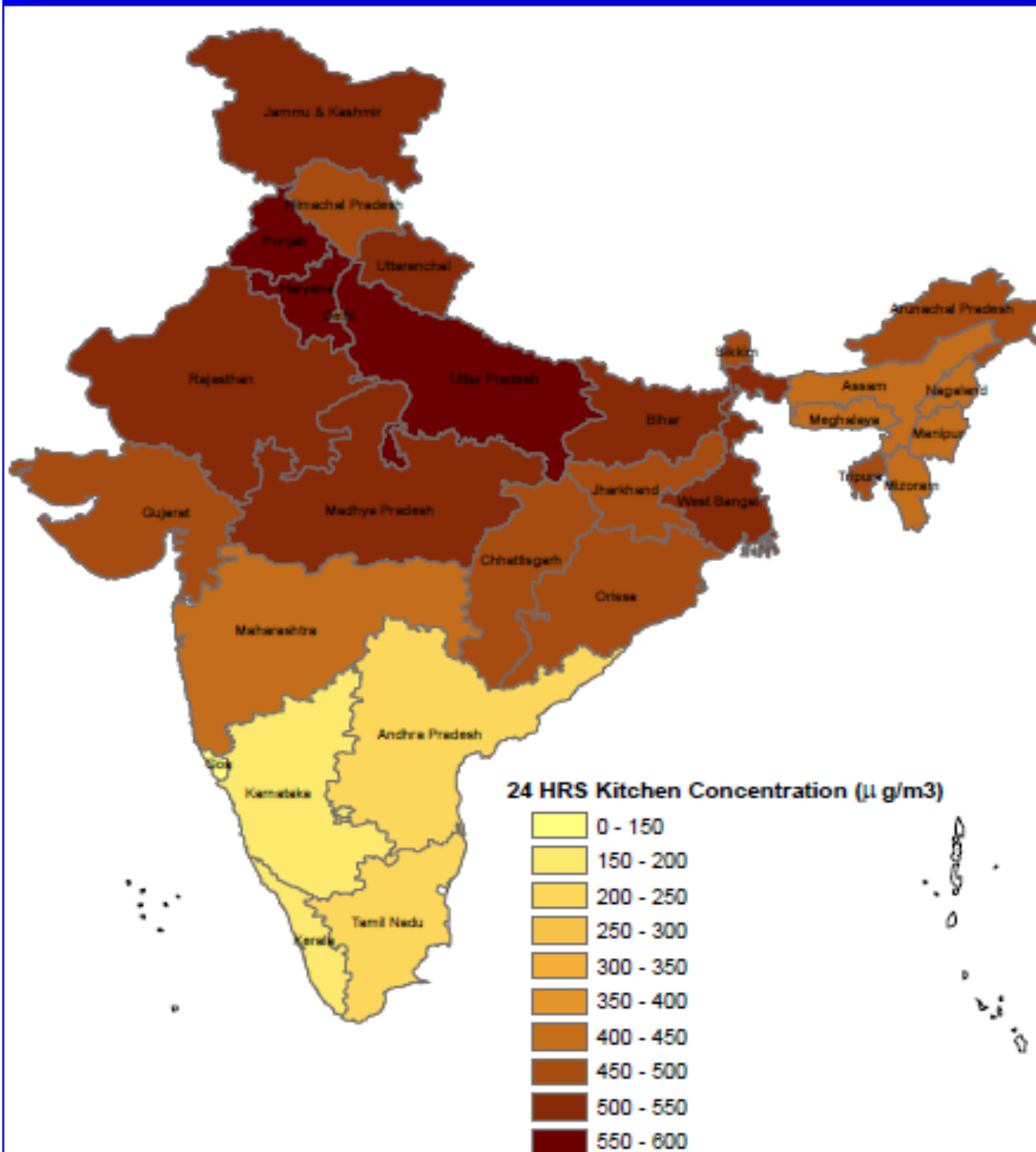
~5000 ug/m<sup>3</sup>  
during cooking  
>500 ug/m<sup>3</sup> 24-  
hour

Indian standard  
40 ug/m<sup>3</sup>

Emissions and  
concentrations,  
yes, but  
what about  
exposures?



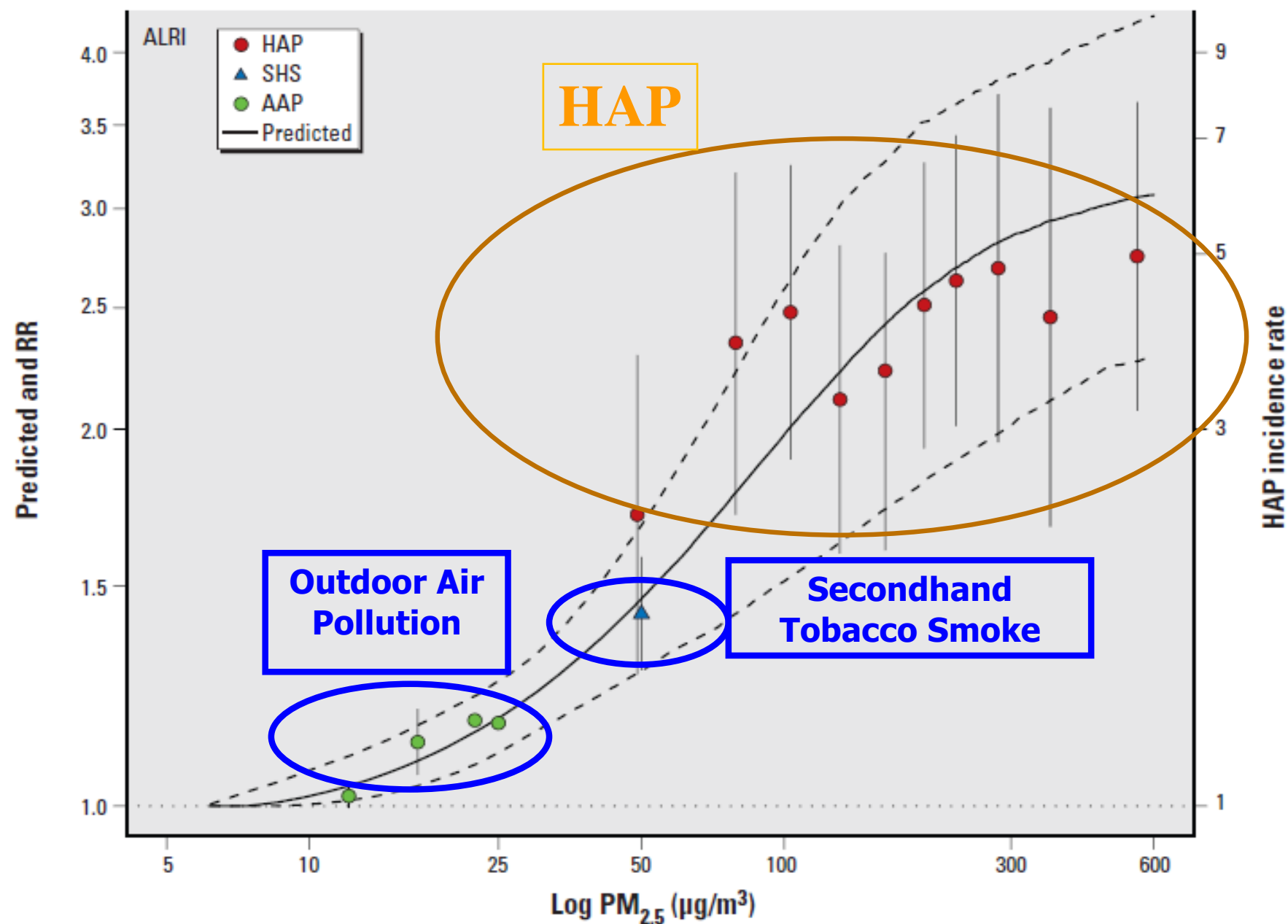
Kheda District,  
Gujarat, 1981



State-wise  
estimates of  
24-h kitchen  
concentrations  
of PM<sub>2.5</sub>  
in India

Solid-fuel using  
households

Balakrishnan et al.  
2013 (SRU group)



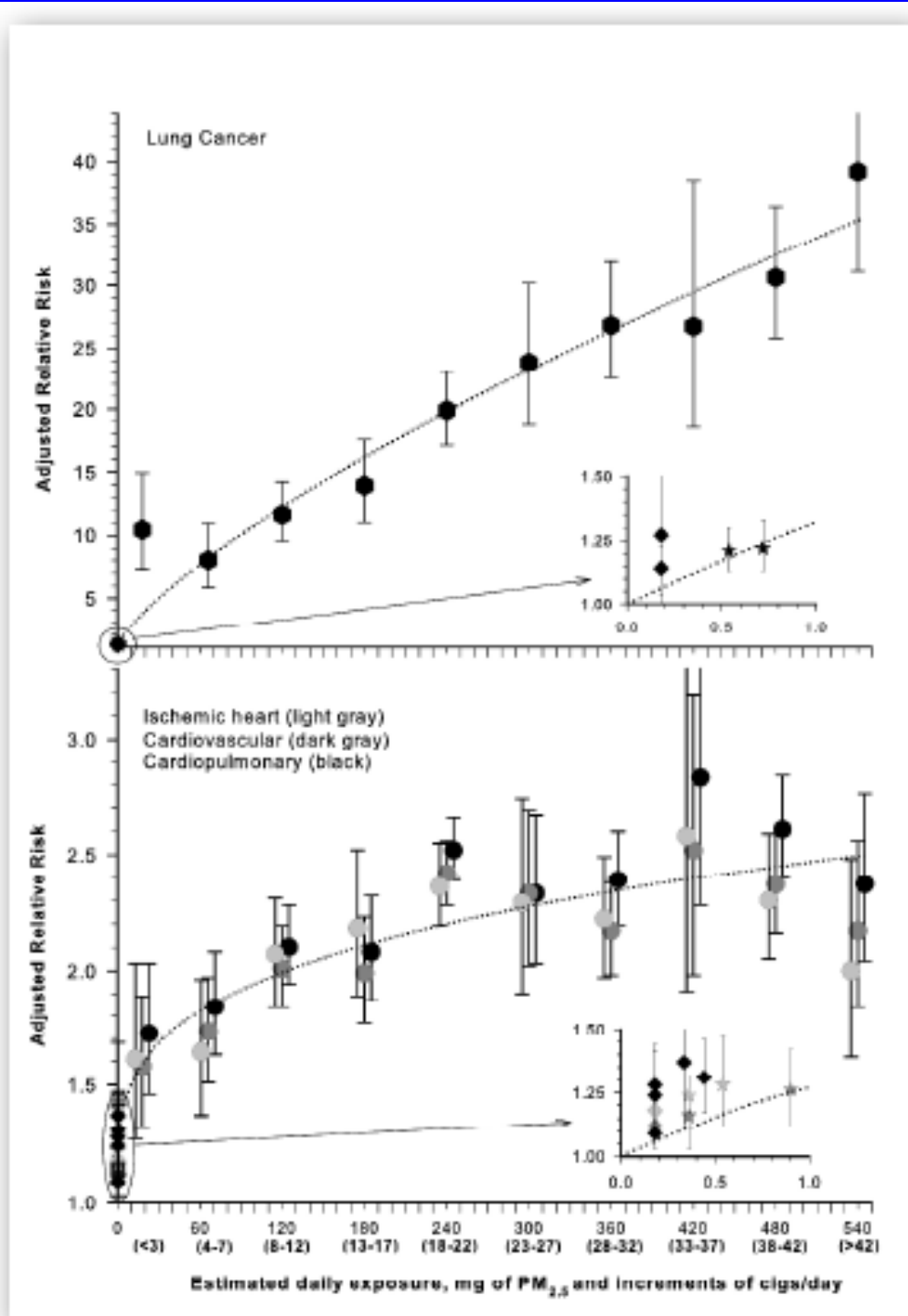
Burnett et al., EHP. 2014, Integrated Exposure-Response Functions

Table 2. Adjusted relative risk estimates<sup>a</sup> for various increments of exposure from cigarette smoking (versus never smokers), second hand cigarette smoke, and ambient air pollution from the present analysis and selected comparison studies.

Source of risk estimate	Increments of Exposure	Adjusted RR (95% CI)				Estimated Daily Dose PM <sub>2.5</sub> (mg) <sup>b</sup>
		Lung Cancer	IHD	CVD	CPD	
ACS- present analysis	≤3 (1.5) cigs/day	10.44 (7.30-14.94)	1.61 (1.27-2.03)	1.58 (1.32-1.89)	1.72 (1.46-2.03)	18
ACS- present analysis	4-7 (5.5) cigs/day	8.03 (5.89-10.96)	1.64 (1.37-1.96)	1.73 (1.51-1.97)	1.84 (1.63-2.08)	66
ACS- present analysis	8-12 (10) cigs/day	11.63 (9.51-14.24)	2.07 (1.84-2.31)	2.01 (1.84-2.19)	2.10 (1.94-2.28)	120
ACS- present analysis	13-17 (15) cigs/day	13.93 (11.04-17.58)	2.18 (1.89-2.52)	1.99 (1.77-2.23)	2.08 (1.87-2.32)	180
ACS- present analysis	18-22 (20) cigs/day	19.88 (17.14-23.06)	2.36 (2.19-2.55)	2.42 (2.28-2.56)	2.52 (2.39-2.66)	240
ACS- present analysis	23-27 (25) cigs/day	23.82 (18.80-30.18)	2.29 (1.91-2.75)	2.33 (2.02-2.69)	2.33 (2.03-2.67)	300
ACS- present analysis	28-32 (30) cigs/day	26.82 (22.54-31.91)	2.22 (1.97-2.49)	2.17 (1.98-2.38)	2.39 (2.19-2.60)	360
ACS- present analysis	33-37 (35) cigs/day	26.72 (18.58-38.44)	2.58 (1.91-3.47)	2.52 (1.98-3.19)	2.83 (2.28-3.52)	420
ACS- present analysis	38-42 (40) cigs/day	30.63 (25.79-36.38)	2.30 (2.05-2.59)	2.37 (2.16-2.59)	2.61 (2.40-2.84)	480
ACS- present analysis	43+ (45) cigs/day	39.16 (31.13-49.26)	2.00 (1.62-2.48)	2.17 (1.84-2.56)	2.37 (2.04-2.76)	540
ACS-air pol. original	24.5 µg/m <sup>3</sup> ambient PM <sub>2.5</sub>	----	----	----	1.31(1.17-1.46)	0.44
ACS-air pol. extend.	10 µg/m <sup>3</sup> ambient PM <sub>2.5</sub>	1.14(1.04-1.23)	1.18(1.14-1.23)	1.12(1.08-1.15)	1.09(1.03-1.16)	0.18
HSC-air pol. original	18.6 µg/m <sup>3</sup> ambient PM <sub>2.5</sub>	----	----	----	1.37(1.11-1.68)	0.33
HSC-air pol. extend.	10 µg/m <sup>3</sup> ambient PM <sub>2.5</sub>	1.21(0.92-1.69)	----	1.28(1.13-1.44)	----	0.18
WHI-air pol.	10 µg/m <sup>3</sup> ambient PM <sub>2.5</sub>	----	----	1.24(1.09-1.41) <sup>c</sup>	----	0.18
SGR-SHS	Low- moderate SHS exp.	----	----	1.16(1.03-1.32)	----	0.36
SGR-SHS	Moderate-high SHS exp	----	----	1.26(1.12-1.42)	----	0.90
SGR-SHS	Live with smoking spouse	1.21(1.13-1.30)	----	----	----	0.54
SGR-SHS	Work with SHS exposure	1.22(1.13-1.33)	----	----	----	0.72
INTERHEART	1-7 hrs/wk SHS exp.	----	1.24(1.17-1.32) <sup>d</sup>	----	----	0.36
INTERHEART	Live with smoking spouse	----	1.28(1.12-1.47) <sup>d</sup>	----	----	0.54

Pope et al.  
Environmental Health  
Perspectives  
 2011, in press



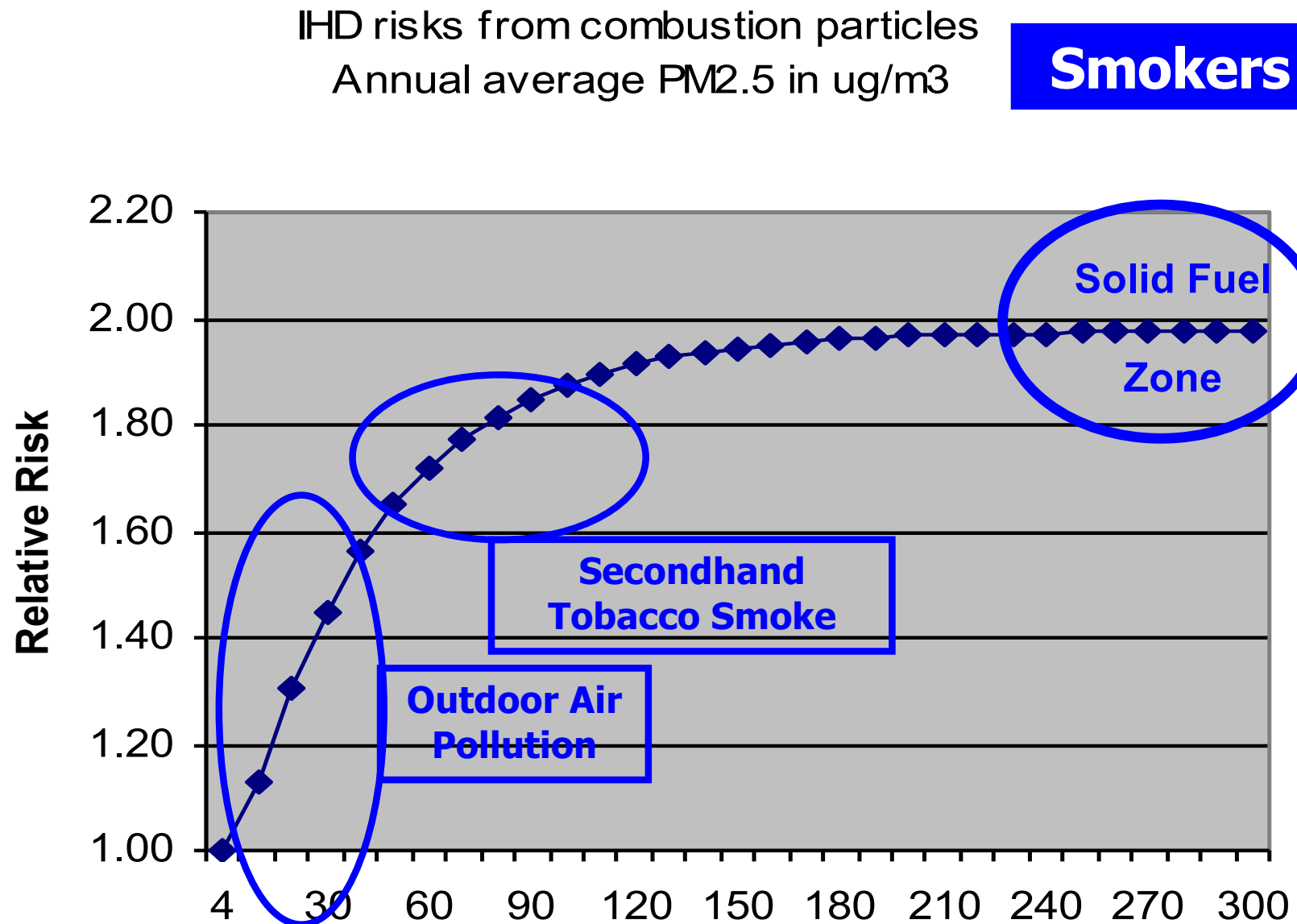


Lung  
Cancer

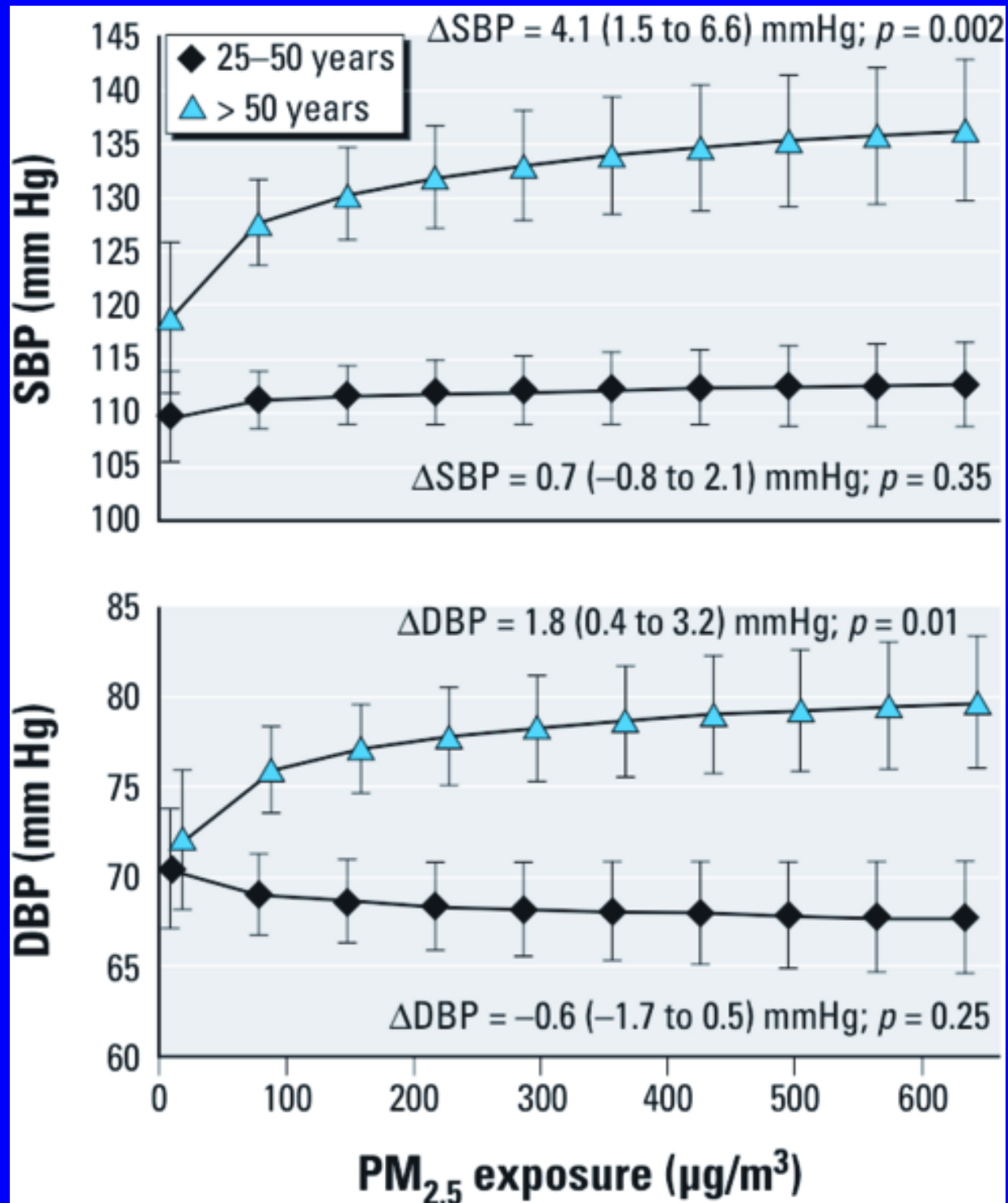
Heart  
Disease

Pope et al.  
Environmental  
Health  
Perspectives  
2011, in press

# Generalized Exposure-Response: Outdoor Air, SHS, and Smoking



# Household Air Pollution and Blood Pressure In Yunnan



Baumgartner et al.  
Environmental Health  
Perspectives 2011, Oct

# Intervention to Lower Household Wood Smoke Exposure in Guatemala Reduces ST-Segment Depression on Electrocardiograms

*John McCracken,<sup>1,2</sup> Kirk R. Smith,<sup>2</sup> Peter Stone,<sup>3</sup> Anaité Díaz,<sup>4</sup> Byron Arana,<sup>4</sup> and Joel Schwartz<sup>1</sup>*

<sup>1</sup>Department of Environmental Health, Harvard School of Public Health, Boston, Massachusetts, USA; <sup>2</sup>Environmental Sciences Division, University of California, Berkeley, California, USA; <sup>3</sup>Brigham and Women's Hospital, Boston, Massachusetts, USA; <sup>4</sup>Center for Health Studies, Universidad del Valle, Guatemala City, Guatemala

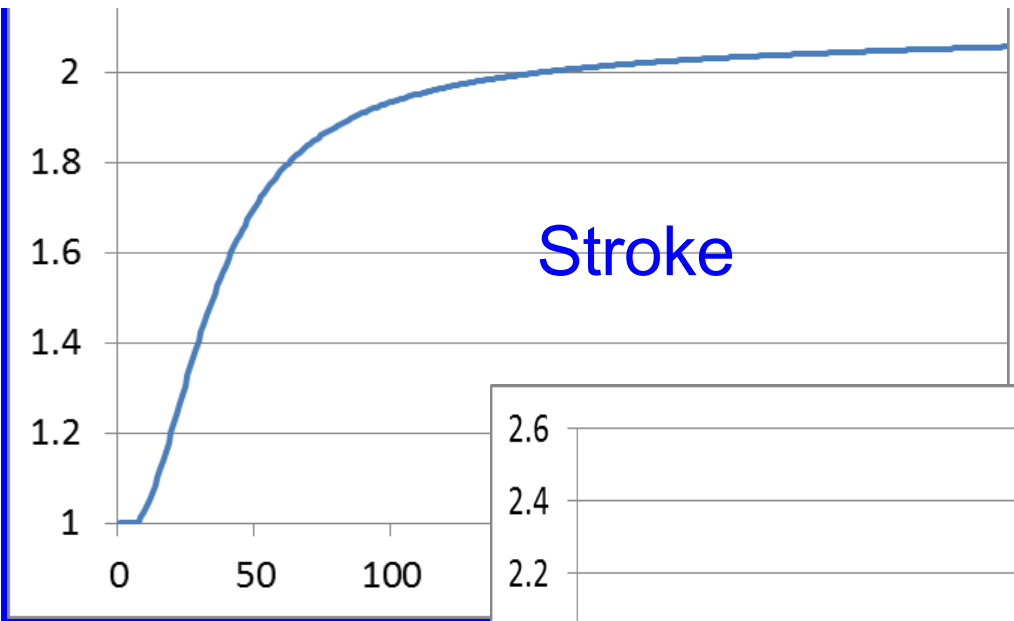
**EHP Nov, 2011**

**Table 3.** Odds ratios (ORs) for nonspecific ST-segment depression (30-min average  $\leq -1$  mm, regardless of slope) associated with chimney-stove intervention compared with open fire from two study designs: between-groups and before-and-after analyses.

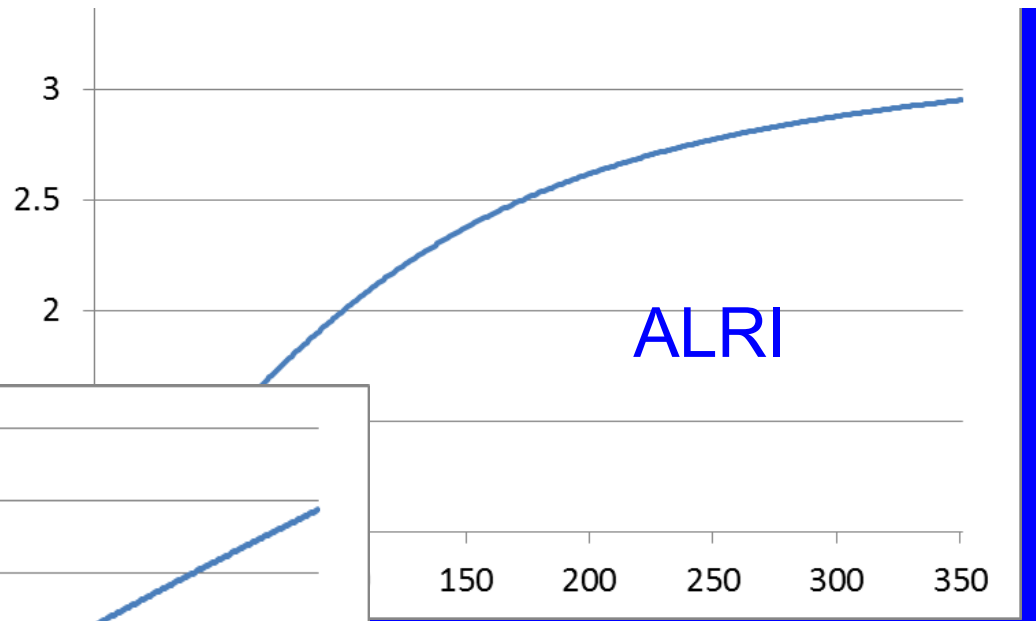
Comparison	Crude		Adjusted	
	OR (95% CI)	p-Value	OR (95% CI)	p-Value
Between-groups	0.34 (0.15, 0.81)	0.015	0.26 (0.08, 0.90) <sup>a</sup>	0.033
Before-and-after (only control group)	0.41 (0.24, 0.70)	0.001	0.28 (0.12, 0.63) <sup>b</sup>	0.002

<sup>a</sup>Adjusted for age (quadratic), BMI (quadratic), asset index category, ever smoking, SHS, owning a wood-fired sauna, recent use of wood-fired sauna, and time of day (natural spline with 5 degrees of freedom). <sup>b</sup>Adjusted for age (quadratic), day of week, season (wet/dry), daily average temperature and relative humidity, daily rainfall, interactions of weather variables with season, recent use of wood-fired sauna, and time of day (natural spline with 5 degrees of freedom).

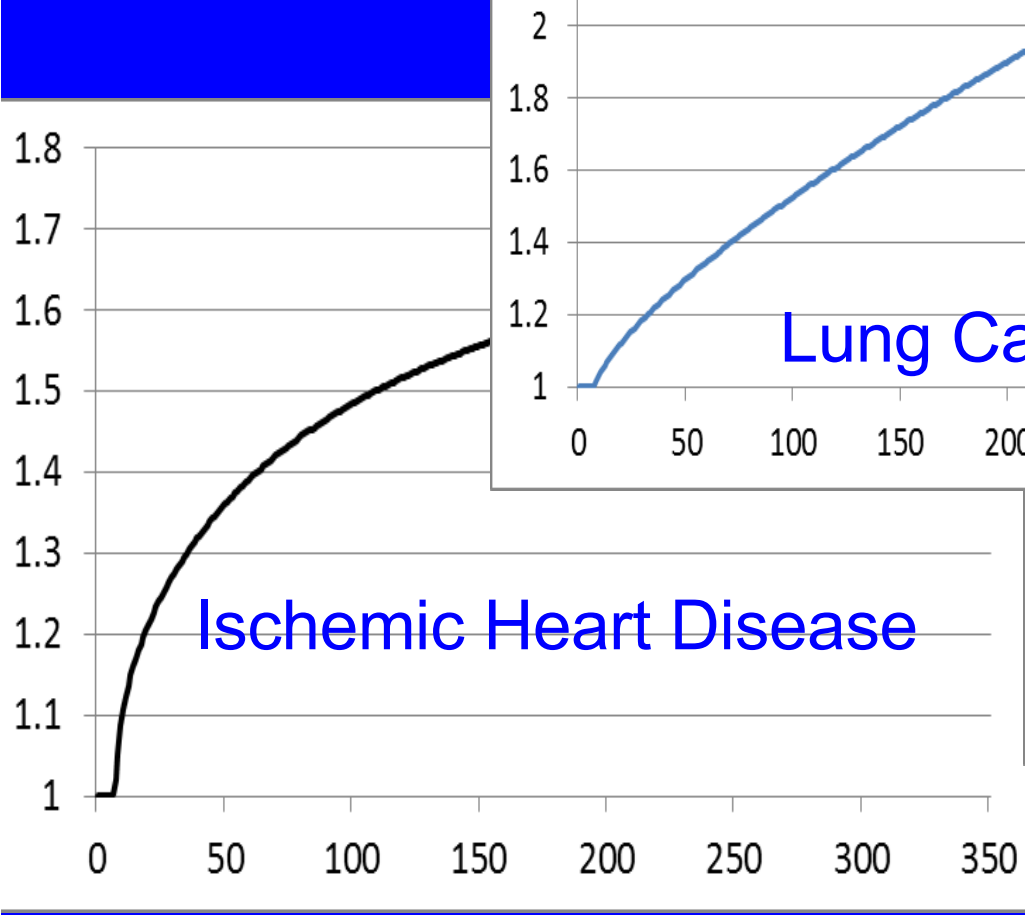




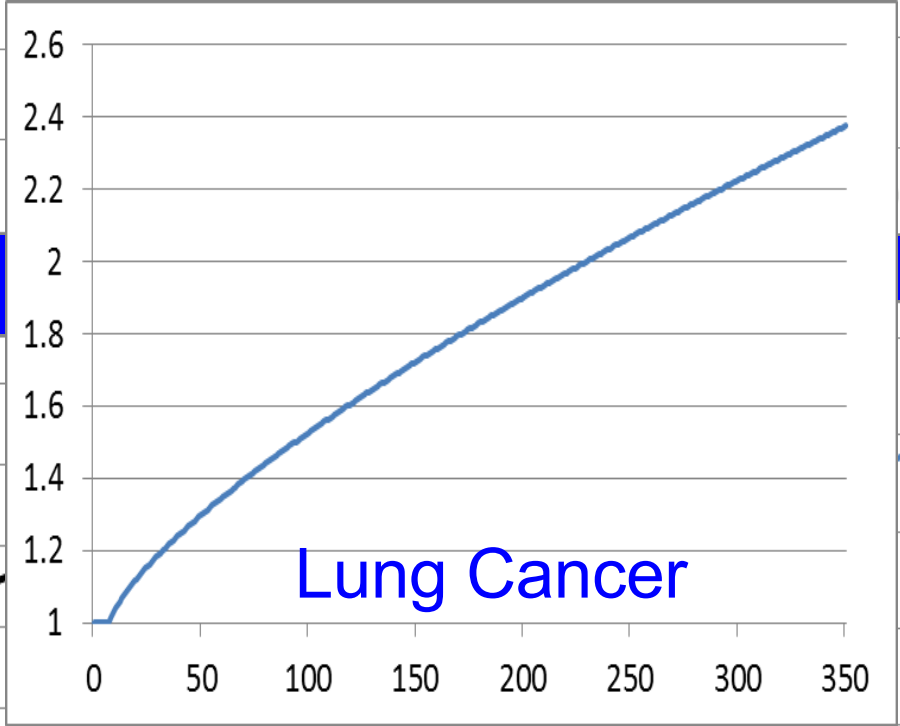
Stroke



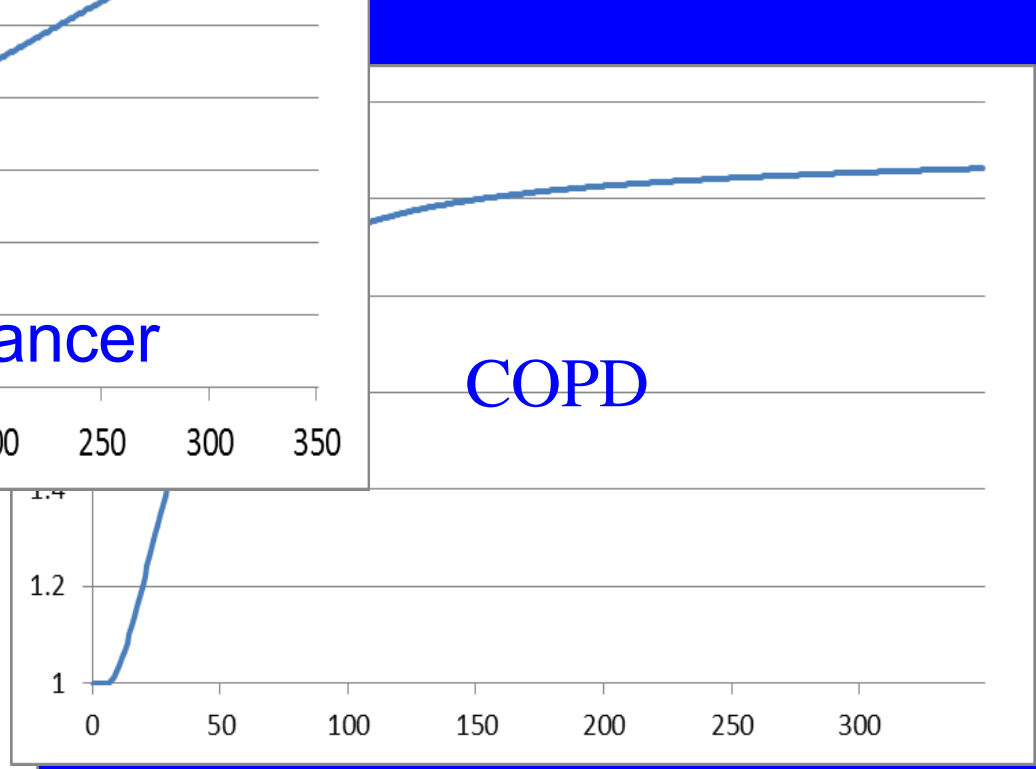
ALRI



Ischemic Heart Disease



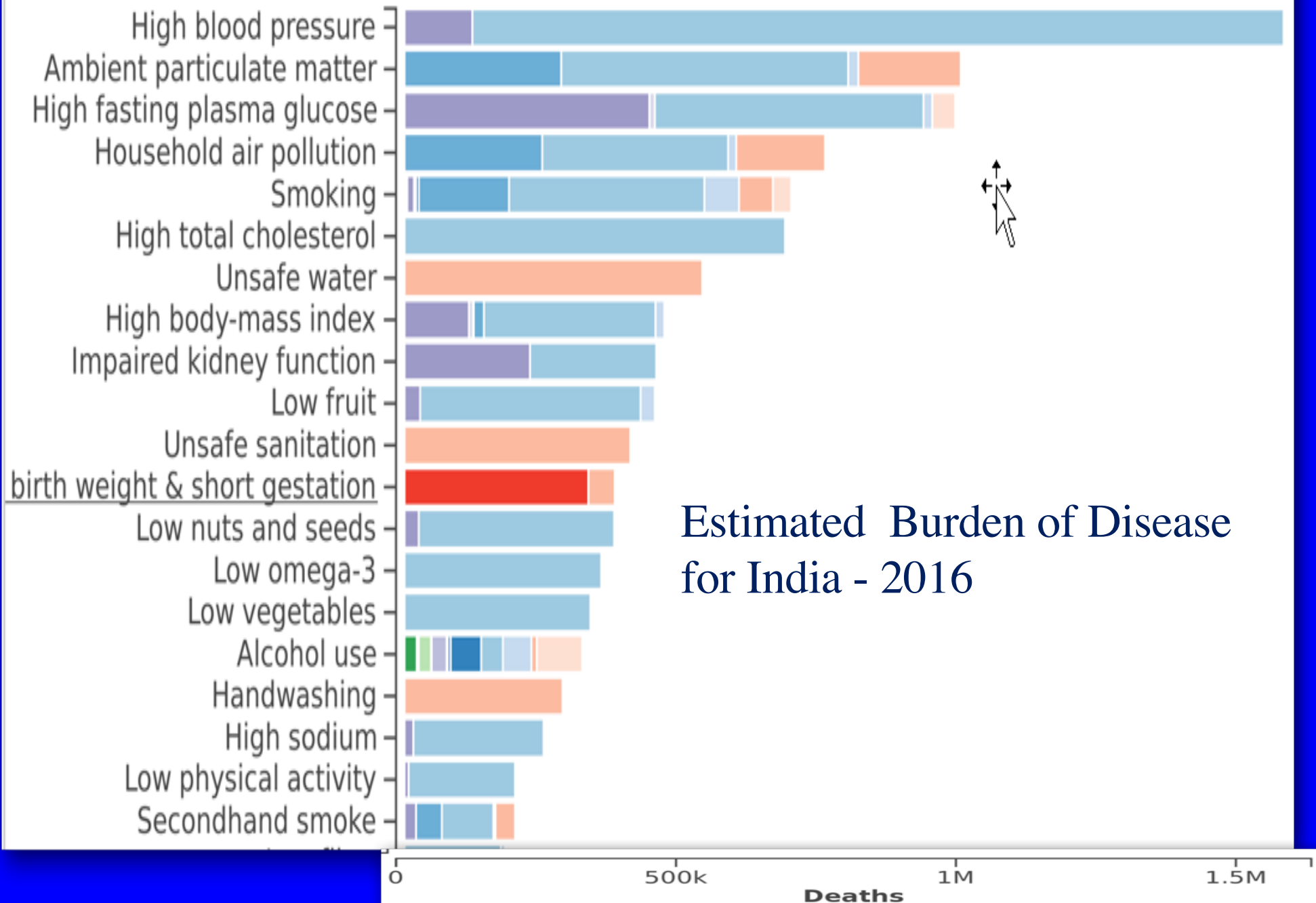
Lung Cancer



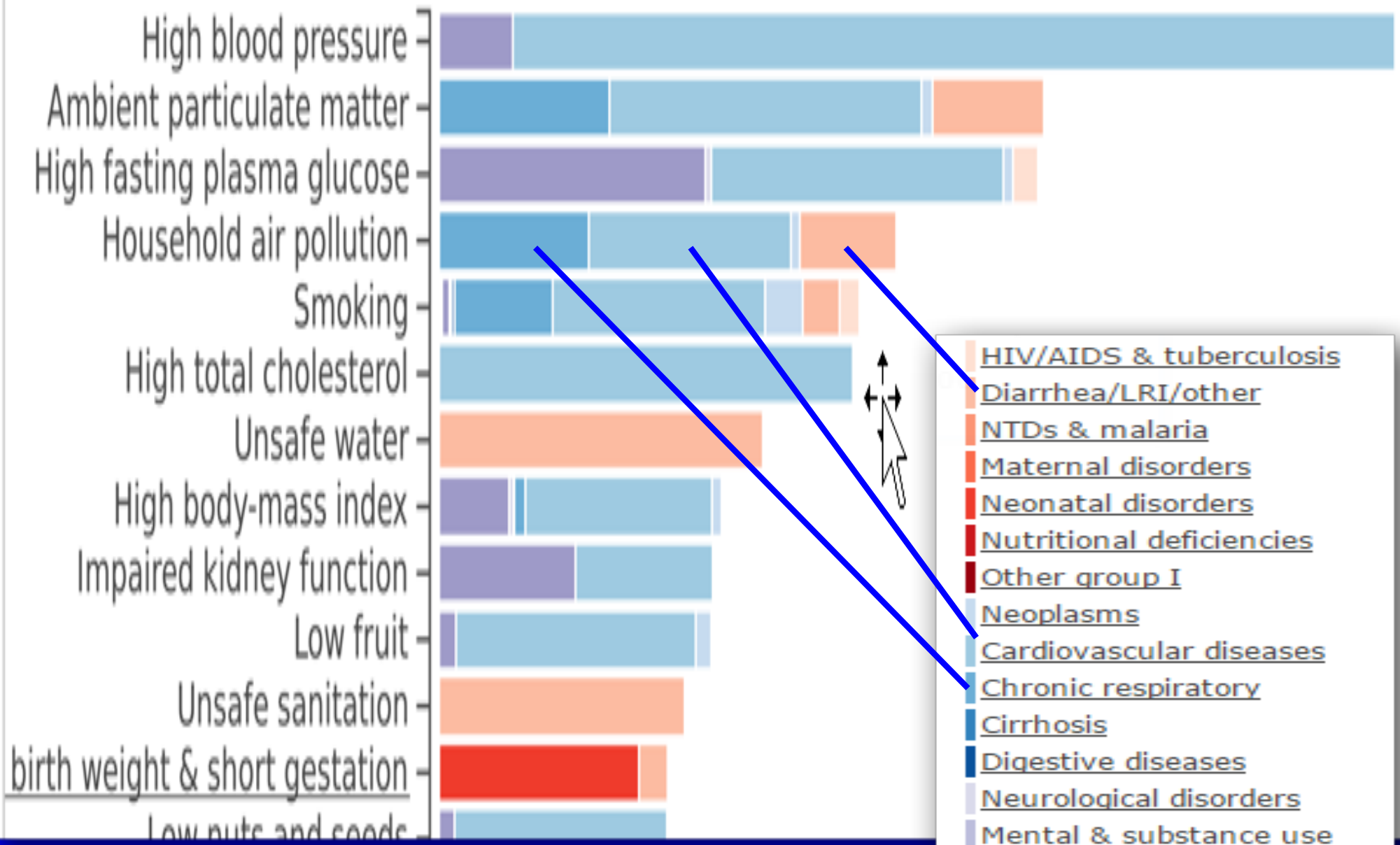
COPD

ug/m<sup>3</sup> annual average PM<sub>2.5</sub>

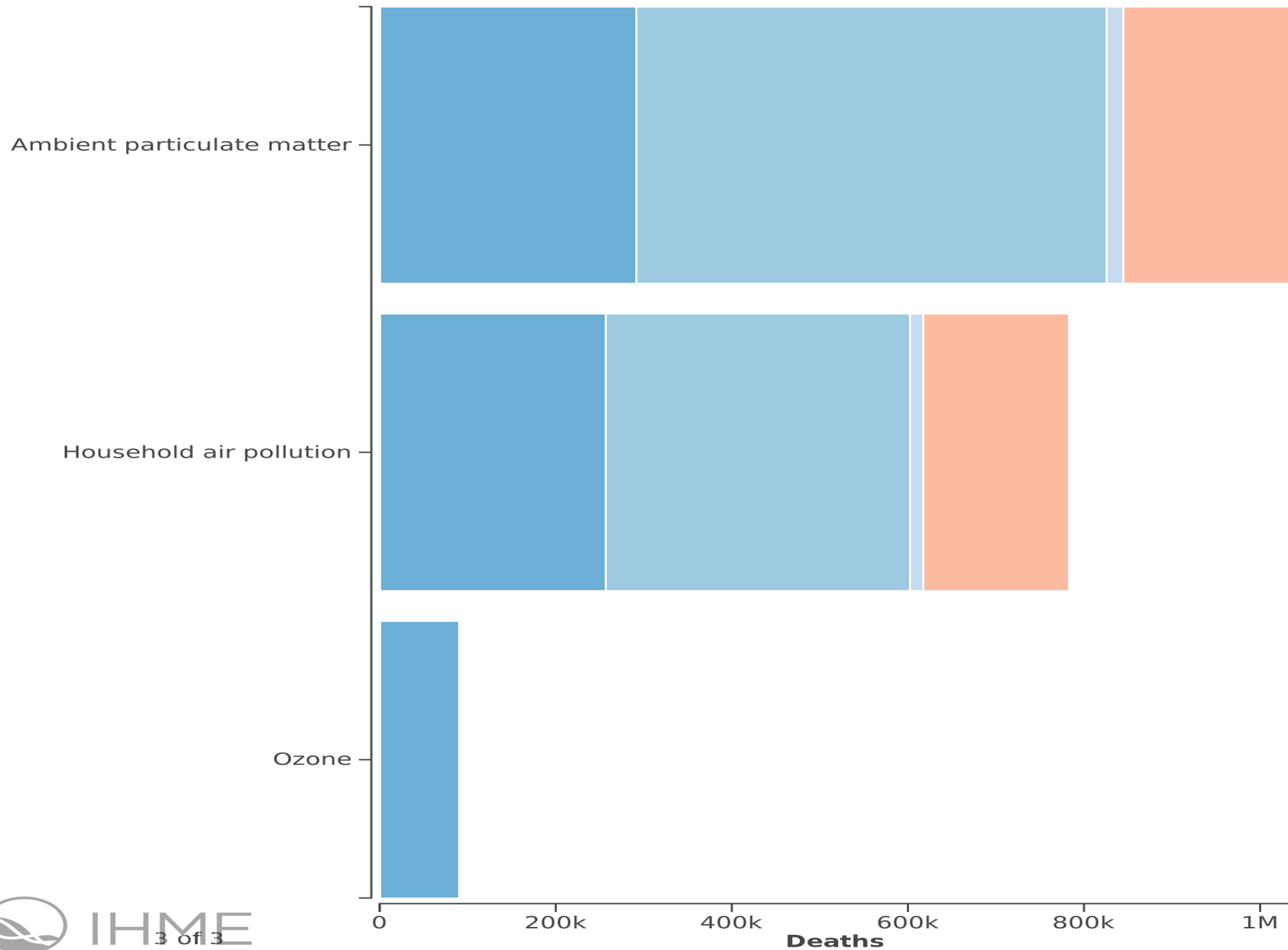
## India, Both sexes, All ages, 2016



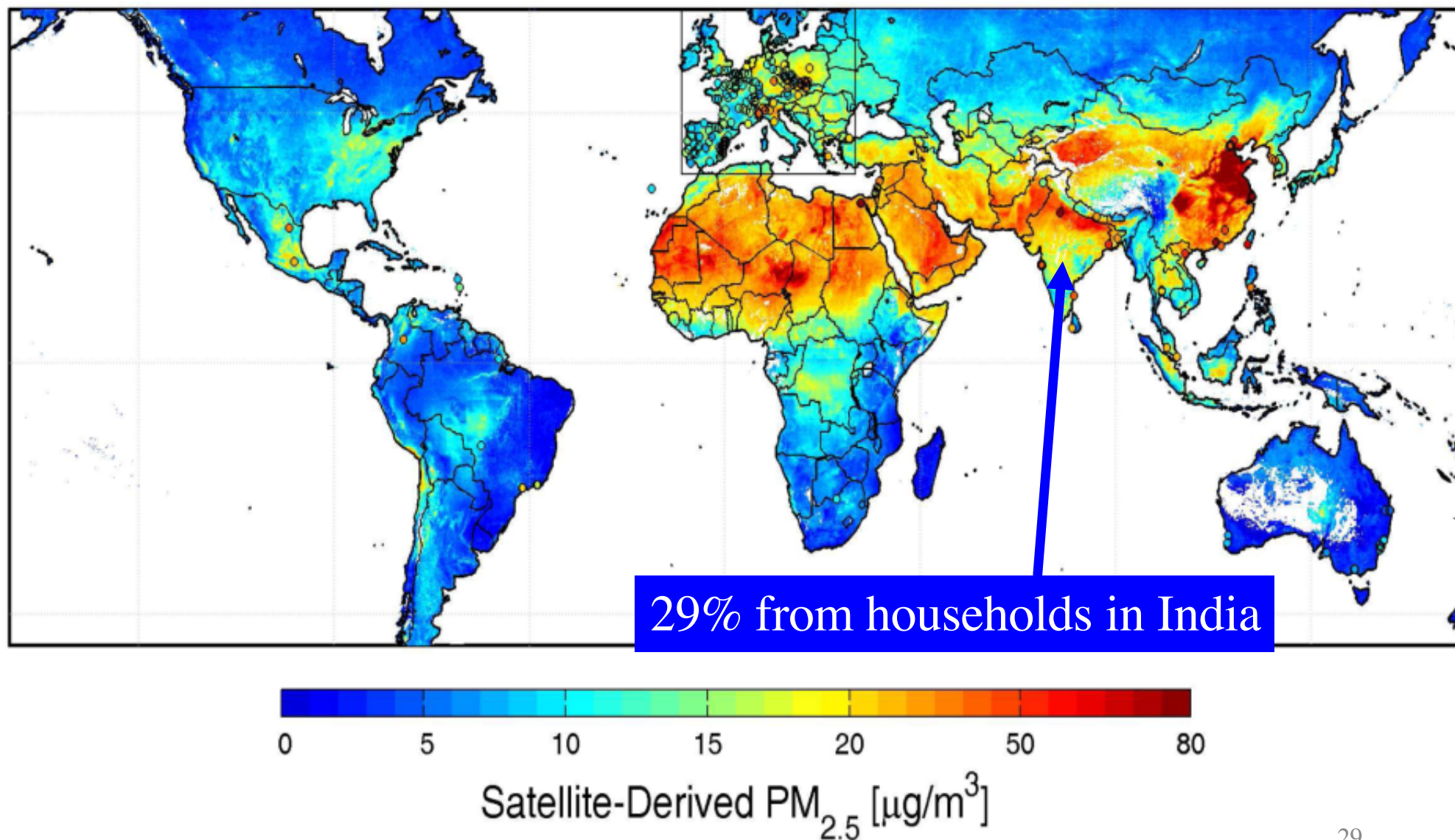
## India, Both sexes, All ages, 2016



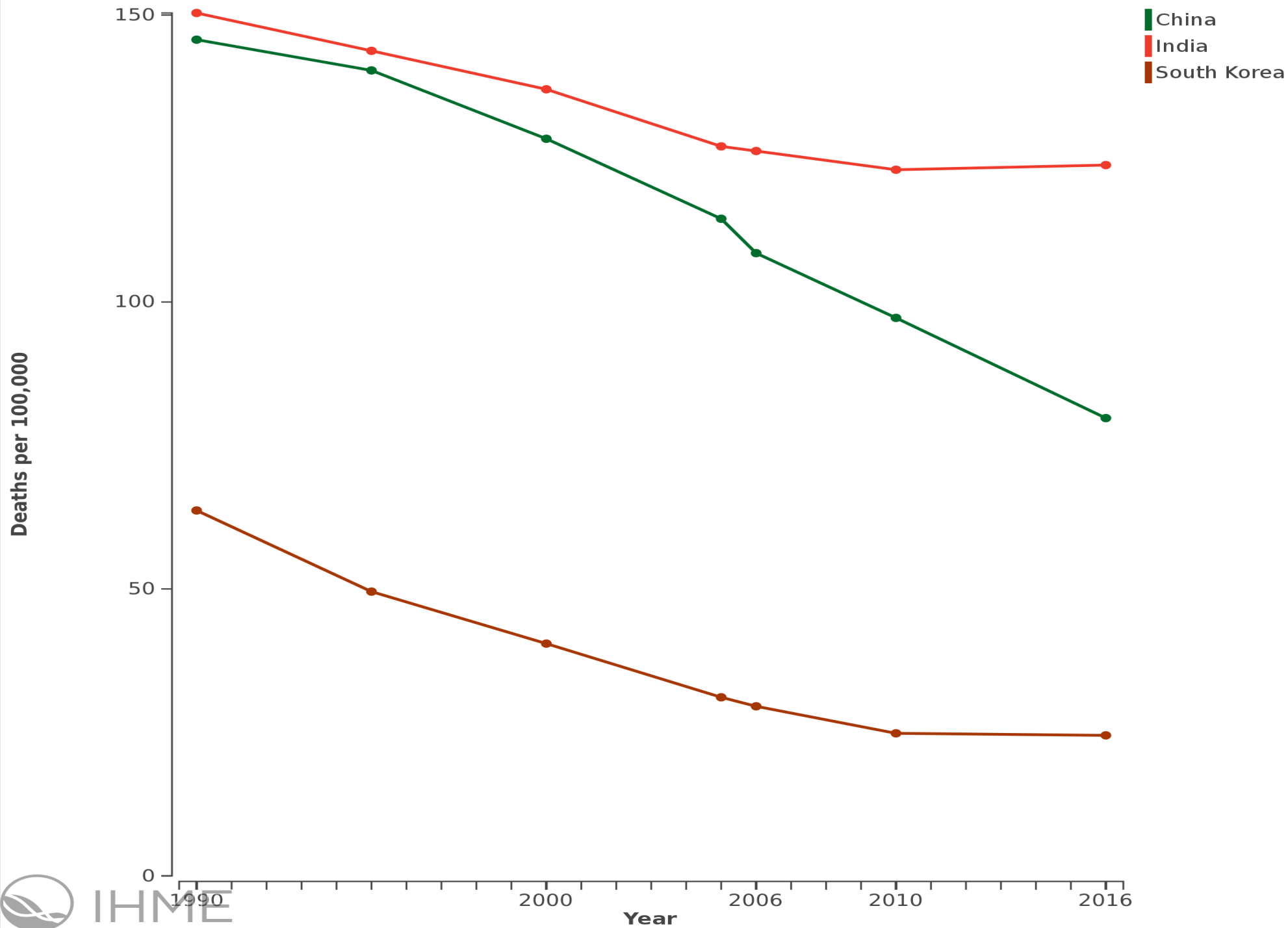
India, Both sexes, All ages, 2016



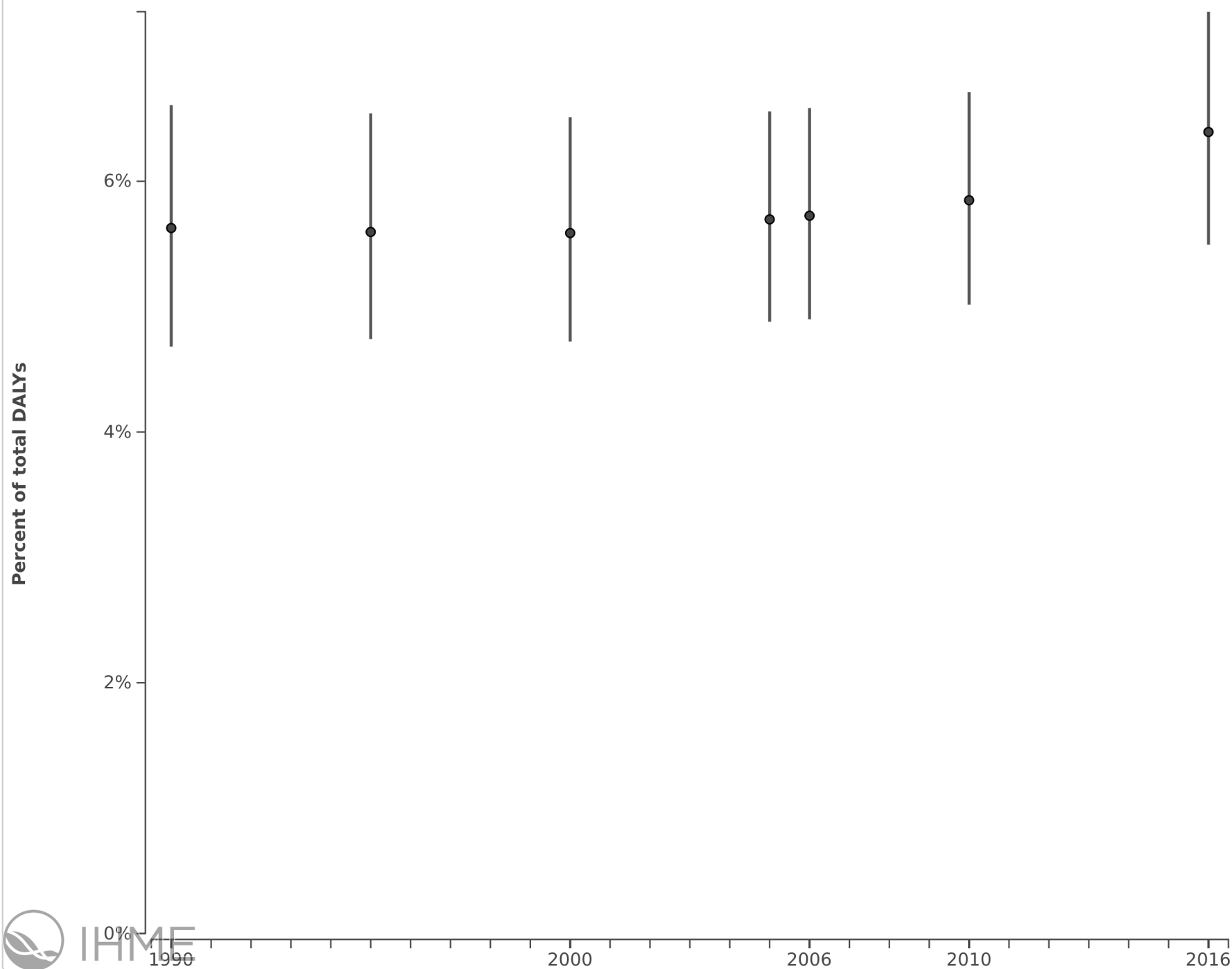
# Satellite-based ambient PM<sub>2.5</sub>



**All causes attributable to Ambient particulate matter pollution  
Both sexes, Age-standardized**



**India**  
**All causes attributable to Ambient particulate matter pollution**  
**Both sexes, All ages**



# Remember

- GBD numbers are highly uncertain – these are central estimates
- And change with new models and databases
- Some health outcomes not included
  - Low birth weight/prematurity
  - TB/asthma
  - Other cancers: cervical, etc.
  - Diabetes, arthritis, low IQ, BMI



## Neurodevelopmental performance among school age children in rural Guatemala is associated with prenatal and postnatal exposure to carbon monoxide, a marker for exposure to woodsmoke

Linda Dix-Cooper<sup>a</sup>, Brenda Eskenazi<sup>b</sup>, Carolina Romero<sup>c</sup>, John Balmes<sup>a,d</sup>, Kirk R. Smith<sup>a,\*</sup>

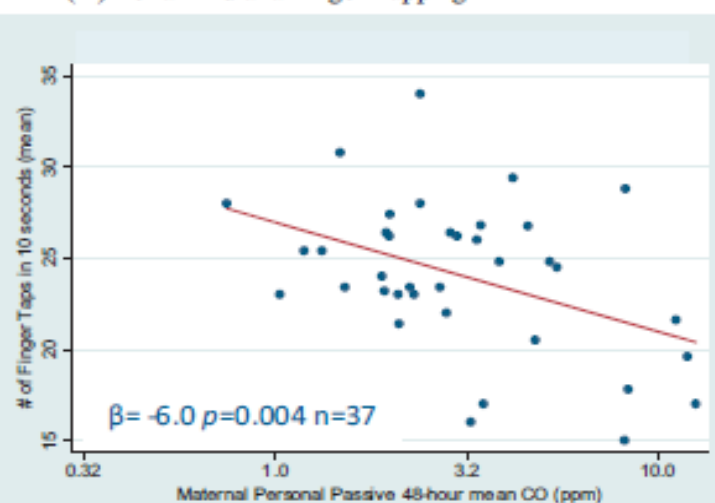
<sup>a</sup> Division of Environmental Health Sciences, School of Public Health, University of California, Berkeley, CA 94720-7360, USA

<sup>b</sup> Center for Environmental Research and Children's Health (CERCH), School of Public Health, University of California, Berkeley, CA, USA

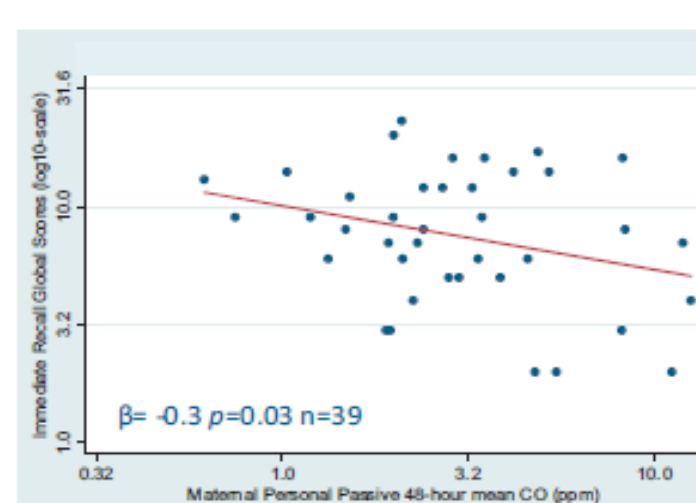
<sup>c</sup> Centro de Estudios en Salud Universidad Del Valle, Guatemala

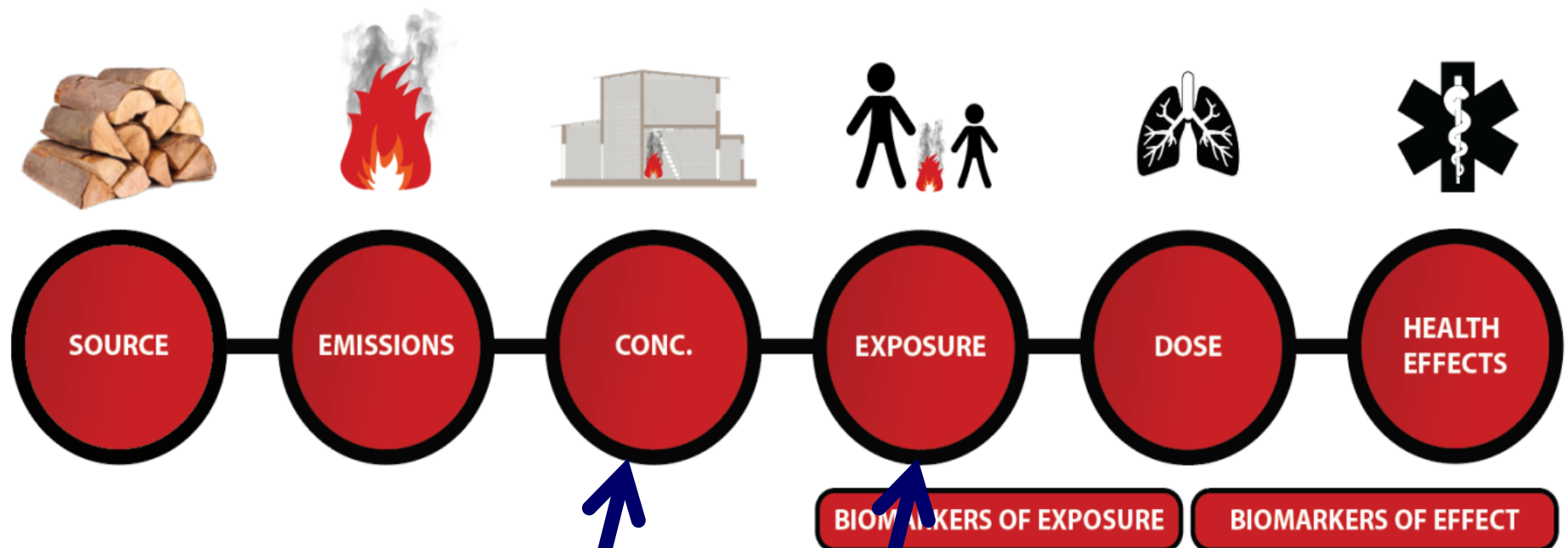
<sup>d</sup> Division of Occupational and Environmental Medicine, Department of Medicine, University of California, San Francisco, CA, USA

(D) Reitan-Indiana Finger Tapping



(B) Bender Gestalt-II Immediate Recall Figures Phase

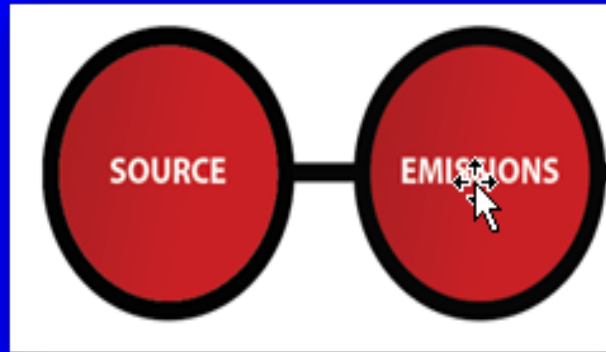




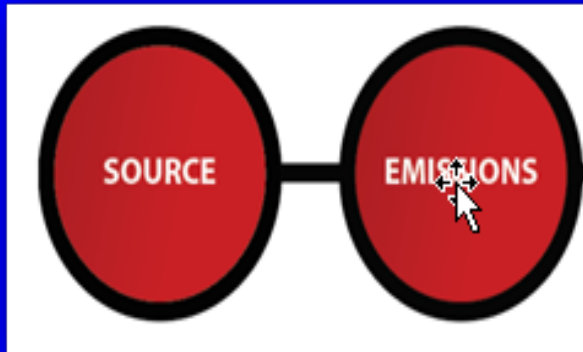
Classic air pollution research focuses on metric concentration for understanding and controlling health risk

# Source – Exposure Relationships

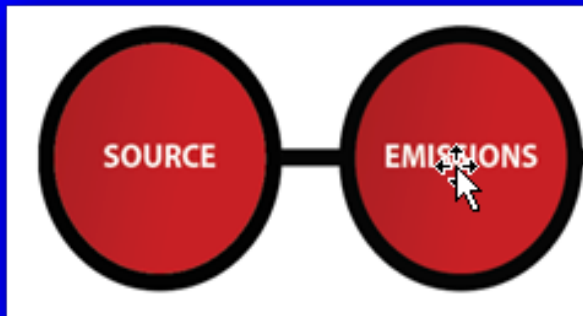
Vehicles



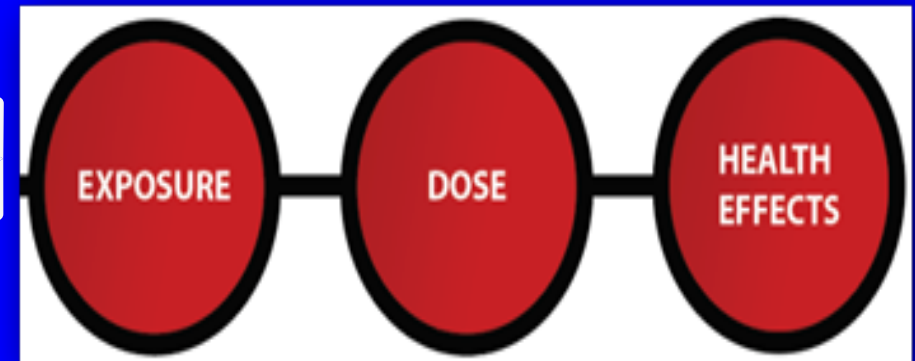
Power  
plants



Stoves



How different?  
Does it matter?



# Ministry of Health and Family Welfare

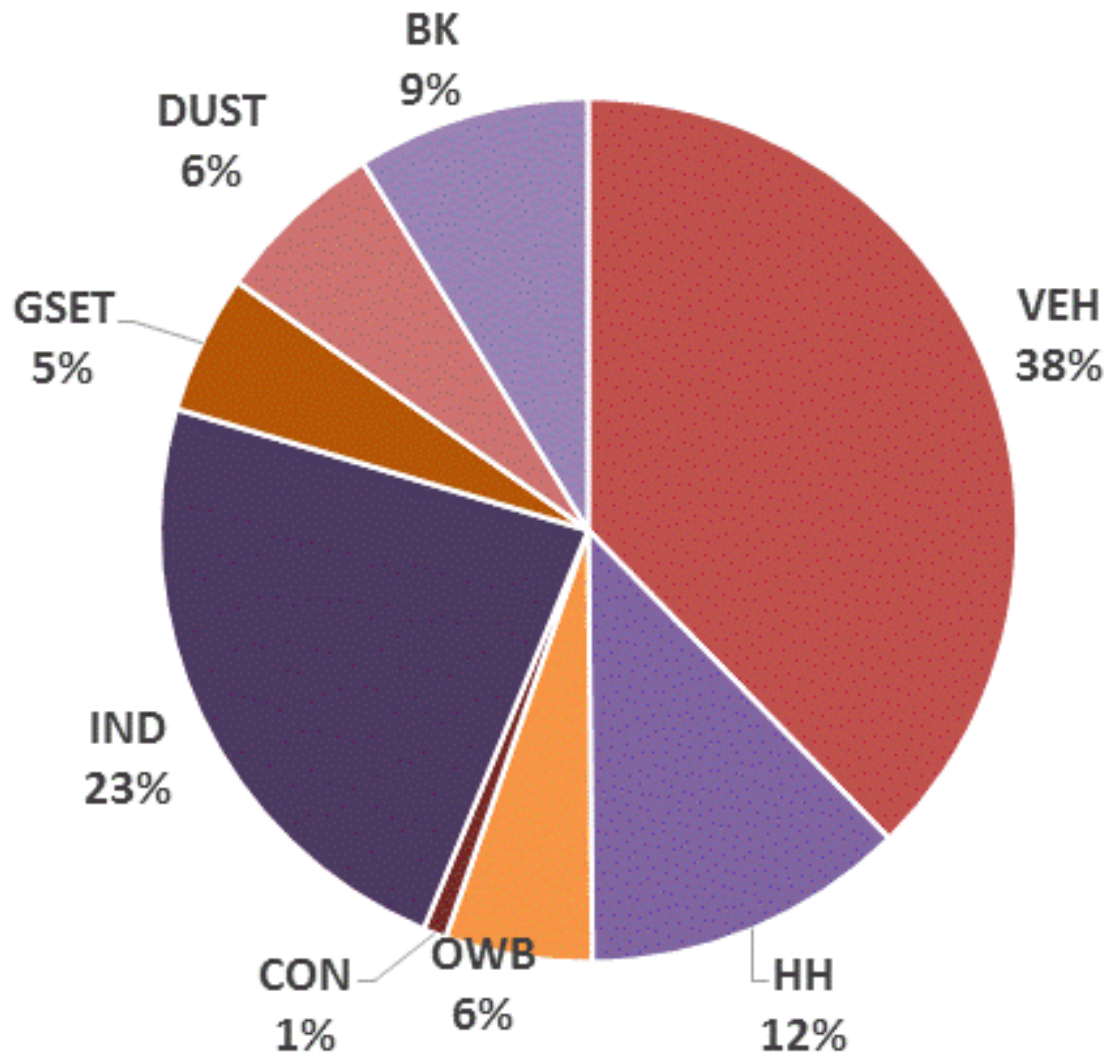
## Air Pollution Task Force - 2015

- First Ministry of Health in world to treat AP as one of its major priorities and consider along with other risk factors in its mission
- First government agency in the world not to address AP by location, but by total exposure – a true health focus
- Thus, not indoor/household, not outdoor, but by what will give the most health benefit

# MoHFW AP Task Force

- Total exposure approach requires utilizing estimates of relation between emissions of each source category and exposure.
- Emissions weighted essentially by proximity to population
- Goal is to change source apportionment to exposure apportionment
- Several analytic approaches now available – new research agenda to make viable for policy

# Hyderabad-2012



Emissions – PM<sub>2.5</sub>

MOHFW Report, 2015  
estimates by Guttikunda

# Ambient Intake Fractions in Hyderabad

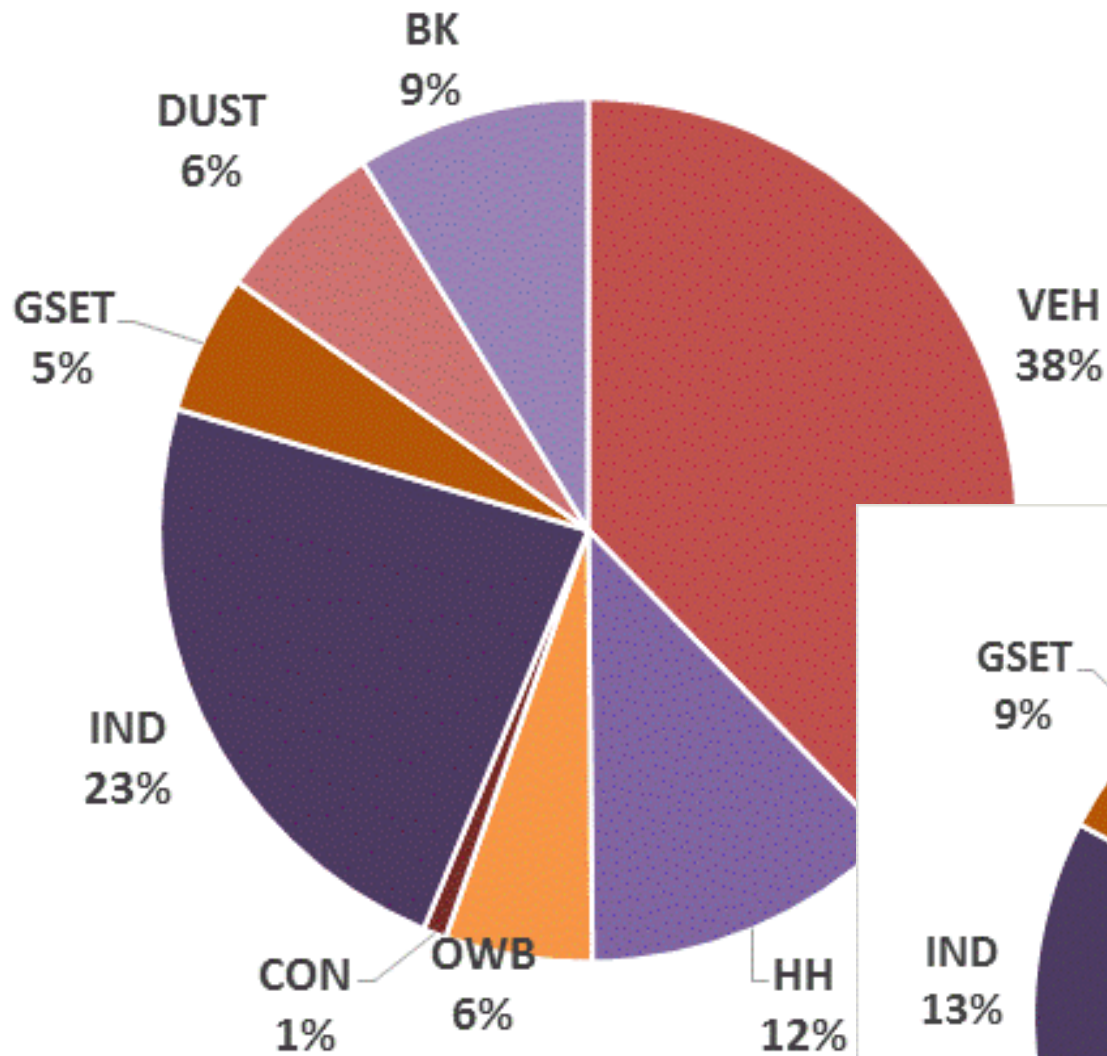
ppm – grams inhaled per tonne emitted

	Average	SD
<b>Households</b>	175	97
<b>Construction</b>	175	93
<b>Waste.burn</b>	140	74
<b>Veh.exhaust</b>	130	64
<b>Gen.sets</b>	123	53
<b>Industries</b>	65	17
<b>Dust</b>	18	4
<b>Power plants</b>	7.4	7.0
<b>Brick.kilns</b>	6.8	1.9



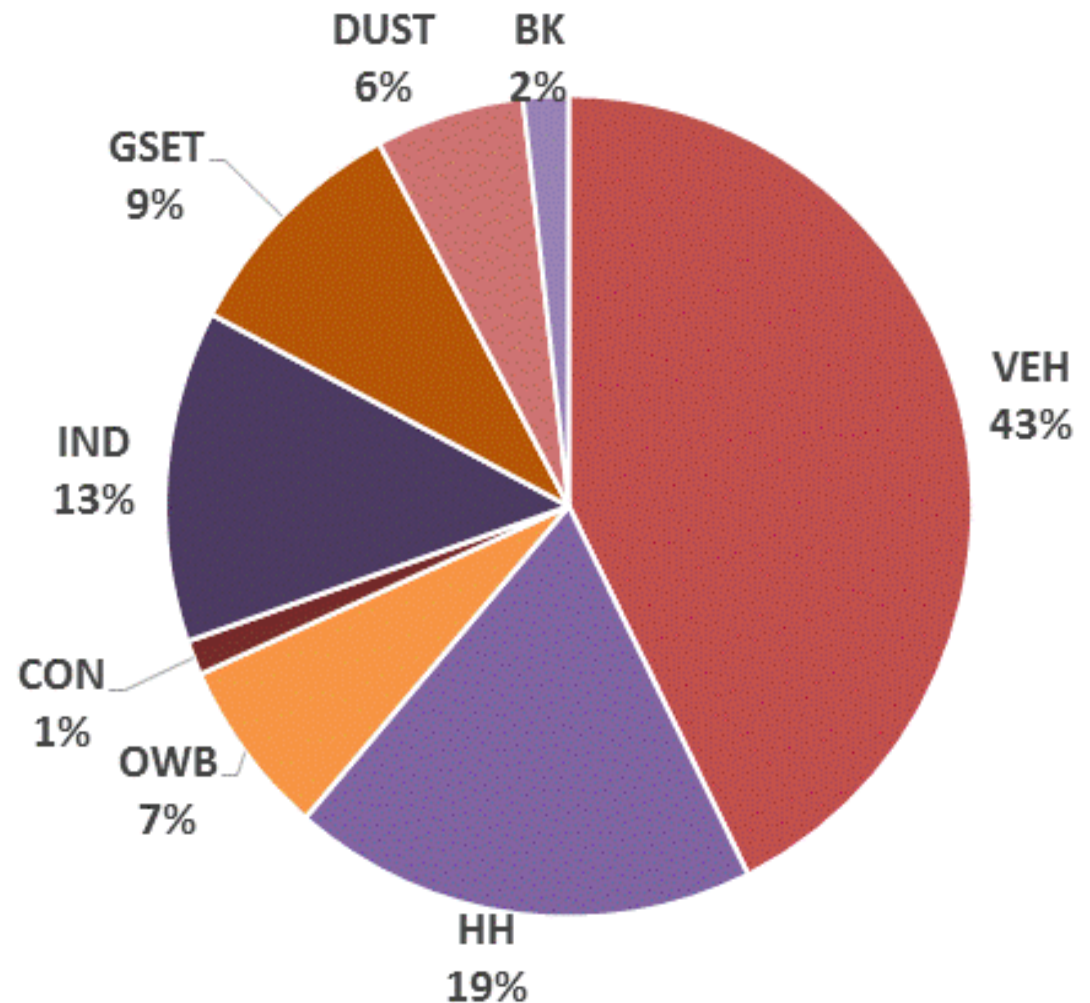
# Hyderabad-2012

## Ambient Exposures— PM<sub>2.5</sub>



## Emissions – PM<sub>2.5</sub>

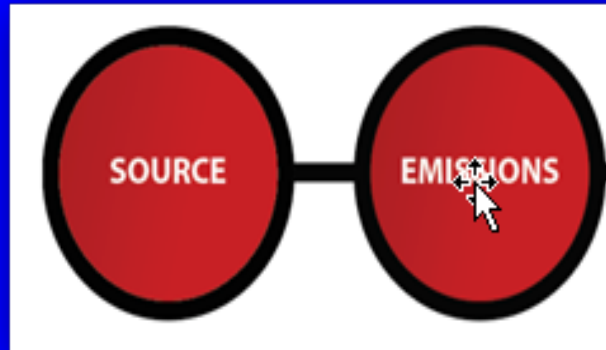
Draft MOHFW Report  
estimates by Guttikunda



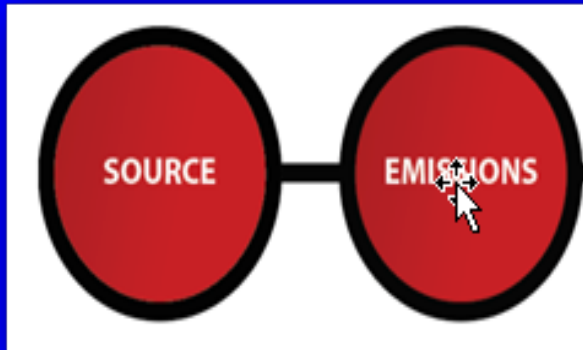


# Source – Exposure Relationships

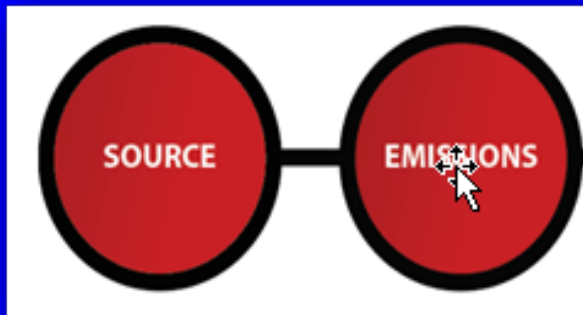
Vehicles



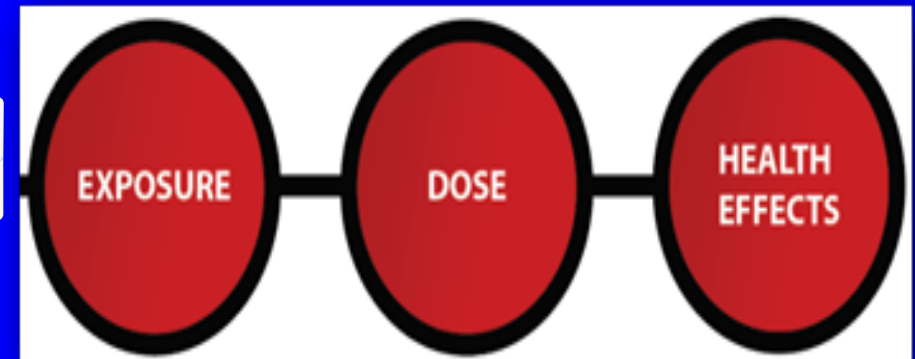
Power  
plants



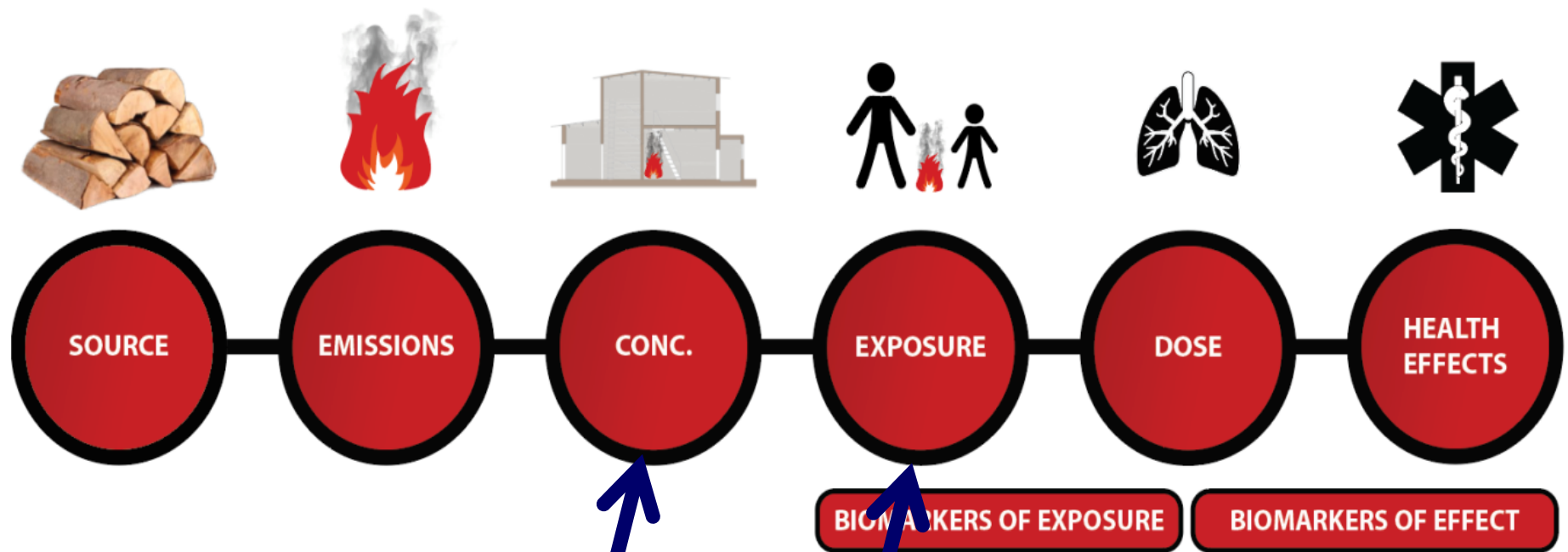
Stoves



How different?  
Does it matter?



Yes, a factor of  
100 different!



Classic air pollution control focuses on concentrations in fixed locations

Integrated exposure allows more nuanced and efficient air pollution control that weights sources by their impact on exposure and health rather than environmental quality

# Summary

- Eventually, we wish to control all sources of air pollution, all the time, everywhere.
- But we cannot afford to do so immediately
- What metric gives the optimal pathway such that the most health protection is occurring at each stage of investment?
- Metrics of exposure are the way to do so

# India

- Still with ~two-thirds of households using solid cookfuels
- Most polluted cities in the world, but also major amounts of ambient pollution in rural areas
- Highest burden of disease from air pollution in the world
- Highest total air pollution burden/capita of all middle-income countries – 2x China



COLLABORATIVE  
**Clean Air**  
POLICY CENTRE

**Joint Activity of**  
**Indian Institute of Technology Delhi**  
**Sri Ramachandra University Chennai**  
**The Energy and Resources Institute (TERI)**  
**University of California Berkeley**  
**and**  
**UrbanEmissions.com – knowledge partner**

CCAPC is a joint activity of



**Berkeley**  
UNIVERSITY OF CALIFORNIA



  
SRI RAMACHANDRA UNIVERSITY





# Mission

- **The CCAPC**
  - evaluates, and compares policy options for dealing with India's health-damaging air pollution of all types,
  - provides a platform for institutions to work together to solve problems and recommend policy, and
  - works to develop capacity to address the policy implications of air pollution in the country.

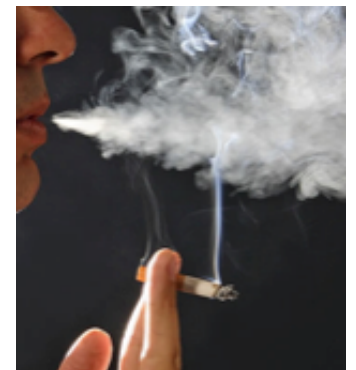
# NIMBY versus MIMBY

- Not in my backyard (NIMBY) is a well known issue in environmental health
- But MIMBY (Must be in my backyard) is a more fundamental problem
- Local data are obviously valuable
- But we cannot repeat every study in every part of the world
- When do we have enough information for policy?
- In particular for HAP risk for CVD outcomes; these now come from ambient and other data only?



# Risk Modifiers

- Age
- Sex
- Race/ethnicity
- Socioeconomic status
- Neighborhood
- Stress
- Diet
- Obesity
- Diabetes
- Other exposures (e.g., tobacco smoke, biomass smoke, occupational vapors, dusts, fumes)



# Many thanks

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